## Date-Driven Topology Identification in Power Distribution Systems with Machine Learning

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A Thesis submitted to

The Faculty of The School of Engineering and Applied Science of The George Washington University in partial satisfaction of the requirements for the degree of Master of Science

August 31, 2020

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# Dedication

This is dedicated to my parents, Weimin Pan and Jun Li, who give birth to me, love me, believe in me, inspire me and have supported me in every step of my life. Without their words, I could never have walked this far...

Also, I'd like to dedicate this thesis to all my relatives and friends. Thank you for all your support and help during this tough period.

#### Acknowledgments

First of all, I wish to thank Dr. Payman Dehghanian, my academic advisor, for introducing me to the fantastic world of electrical power systems engineering, continually guiding me and giving me confidence and knowledge on this road.

I sincerely thank all of the other wonderful members of the GW SmartGrid Lab for their consistent help during my two-year master's degree period. Specifically, my thanks go to Shiyuan Wang who illuminated the darkness of the current study with his special intelligence, and Li Li who patiently used his expertise to help me within the neural networks field.

Finally, my greatest appreciation to all of my friends, especially Jinshun Su, Dingwei Wang and Fei Teng. When time gets tough, I know that we have each other.

#### Abstract

### Date-Driven Topology Identification in Power Distribution Systems with Machine Learning

With the increase in demand for quality electricity and the number of end-use consumers, the operation and control of power grids have become more and more complex and challenging. Ensuring acceptable reliability and quality of the electricity supply has become particularly important to every aspect of our electrified economy. Due to the growing deployment of Micro-Phasor Measurement Units ( $\mu$ PMUs) in power distribution grids, an abundance of high-resolution measurements is available that can be harnessed for smarter operation and fault analyses in power distribution networks. Traditional models have revealed limitations on the network topology identification which may occupy manpower and material resources with no guaranty to effectively restore power in a short time period when facing faults and other disruptions. This thesis suggests and implements a machine learning framework that uses the  $\mu$ PMU measurements as inputs and provides a full observation of the network topology in real-time. Specifically, the proposed framework employs a Convolutional Neural Network (CNN) to identify the physical state of the power network at all times. The framework was evaluated on the IEEE 34-Node Test Feeder, where the experiments show that the proposed CNN can achieve a promising performance with high accuracy even when the  $\mu$ PMU measurements contain noises and/or missing entries.

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#### List of Abbreviations

- μ**PMU** Micro-Phasor Measurement Unit 2, 8, 11, 16, 18, 22–25, 28, 32, 33, 38–40, 42–45, 47–51, 53–55
- AC Alternating current 7
- AE Autoencoder 13, 56
- **AI** Artificial Intelligence 8, 12
- **ANN** Artificial Neural Network 8
- **CapsNet** Capsule Neural Network 56
- **CNN** Convolutional Neural Network 8–11, 13–15, 18, 22, 25, 40–43, 45–48, 55, 56
- **Conv** Convolutional 15, 18
- **CPU** Central Processing Unit 45
- **DER** Distributed Energy Resources 12, 15, 18
- **DL** Deep Learning 8, 14
- **DSSM** Deep Structured Semantic Model 13
- FACTS Flexible Alternating Current Transmission System 3
- **FC** Fully connected 11, 14, 18
- FTU Feeder Terminal Unit 1, 2
- **GAN** Generative Adversarial Network 14
- **GPS** Global Positioning System 7, 12
- MATLAB Matrix Laboratory 11, 20, 23, 25, 28, 40, 44
- **ML** Machine Learning 8
- **MLP** Multilayer Perceptron 13
- **NADE** Neural Autoregressive Distribution Estimation 14
- **PMU** Phasor Measurement Unit 1, 6–8, 11, 12, 16, 17, 56

**RBM** Restricted Boltzmann Machine 13

ReLU Rectified Linear Unit 10, 18, 42

**RNN** Recurrent Neural Network 13

**RTU** Remote Terminal Unit 1, 2

SIANN Shift Invariant or Space Invariant Artificial Neural Network 9

**SNR** Signal-to-Noise Ratio 46–48

**SVM** Support Vector Machine 56

**SW** Switch or breaker 23, 53

**TTU** Transformer Terminal Unit 1, 2

#### Chapter 1: Introduction

#### 1.1 Background

With the development of modern electrical systems for half a century, there are more and more opportunities to enhance the safety, economics, reliability, sensitivity, and flexibility of the power system. For a long time, the complicated and challenging electrical structure of the power distribution grid, insufficient measurement configuration and utilization, information and measurement sparsity, and other problems have spread throughout the network layout, where an improvement in the monitoring and controllability may affect the reliability of the power supply, power quality, and the economics of the system operation. In order to generate and dispatch the electrical power efficiently and operate the power grid stably and safely, system operators need to be informed of the electrical network topology and demand profiles across the network at all times. The observability and controllability of the electrical network are essential to ensure its safe and economic operation. The existing distribution network Feeder Terminal Unit (FTU), distribution Transformer Supervisory Terminal Unit (TTU), Remote Terminal Unit (RTU) and some other measuring equipment do not provide synchronized measurements; and the uploaded measurements are minutely, which makes it difficult to meet the intelligent fast-speed operation and control of the power distribution systems [7–12]. Hence, with the growing complexity in the power grid structure reinforced with heterogeneous resources and the increasing demand for electricity needed for an electrified economy, Phasor Measurement Units (PMUs) have been introduced and widely deployed to observe the dynamic performance of the power grid with

synchronized measurements [13–23]. Accordingly, an abundance of highresolution data is now becoming available for further analysis and informed decision making.

As synchrophasor data becomes more available, there is an increasing need to be able to effectively process and analyze the data to ultimately improve the ways power systems are operated. In power distribution systems, Micro-PMUs (or  $\mu$ PMUs) are used [24]. Combined with the topological processing of the visual images, based on the measurements provided by  $\mu$ PMU, one can better handle the abnormal conditions in the grid (i.e., fault location, fault detection, etc.), thereby reducing the enormous economic consequences and improving the power grid resilience. Compared to the traditional event detection schemes and infrastructure such as FTU, TTU, and RTU, Micro-PMUs ( $\mu$ PMUs) in power distribution grids offer yet-untapped potential for online situational awareness, i.e., event detection, classification, and high-fidelity high-resolution measurements.

#### 1.2 Smart Grid Resilience

Smart grids, where cyber-infrastructure is used to make power distribution more dependable and efficient, are prime examples of modern infrastructure systems. The cyber-infrastructure provides monitoring and decision support intended to increase the dependability and efficiency of the system. However, this comes at the cost of vulnerability to accidental failures and malicious attacks, due to the greater extent of virtual and physical interconnection. Any failure can propagate more quickly and extensively, and as such, the net result could be a compromised reliability and security [25, 26].

**Reliability** and **Resilience** are two different concepts in power grids.

2

The overall reliability of a cyber-physical power grid is a function of the respective reliability of its elements, including both physical components, e.g., generators and transmission lines, and cyber components, e.g., control software, communication links, FACTS devices, and sensors. Reliability quantifies the likelihood of a system to function (or fail) as specified, under given conditions, over a given duration [27]. It takes a binary view of a system, were the only states possible are "functional" and "failed." It also includes the maintainability of the systems and its constituent components over time [28–49]. As such, this metric is of limited use in evaluating the system after a failure occurs [50, 51].

A quantitative metric and concept useful to this end is "Resilience", defined as the ability of a system to bounce back from a failure [52]. Recovery does not imply the perfect restoration of the system's functionality; but instead implies that the system has returned to a state where it is considered functional [25]. Reference Figure 1.1 shows different operating states a power system may experience [53–55].

- Normal State: In this state, the system parameters such as voltage, frequency, current, etc. are within the normal and desired range of operation and the energy supply meets the demand. Event a component fails, the system will be able to meet the demand with all operational variables within the desirable thresholds.
- Alert State: In this state, all system parameters are within the acceptable range but very close to their limits. In case of a failure in one system element, the generation and demand will be still in balance; however, some operational variables may be violated.
- Emergency State: In this state, some system parameters are outside

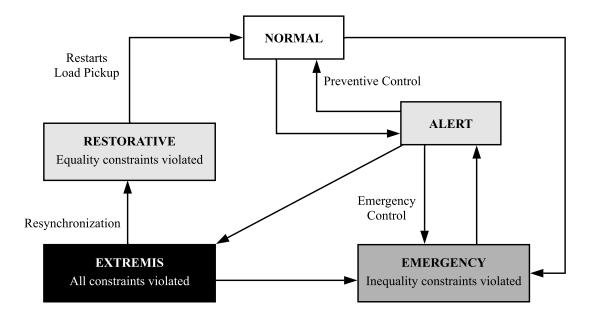


Figure 1.1: Power System Operating States [1]

their acceptable range. This may lead to system disintegration if a failure occurs in the system.

- **Extremis State**: In this state, partial or system-wide black-out may occur. That is the generation and demand are not in balance.
- **Restorative State**: In this state, the system goes into a process of restoration by reconnecting system elements and re-synchronizing generators to achieve the normal operating state.

Over the past couple of years, the GW Laboratory researchers have studied the resileince challenges that the power grid faces to a wide range of threats and have proposed solutions for detection, verification, and mitigation in response. Interested readers can refer to [26, 56–76].

#### **1.3 Challenges and Opportunities**

Data analytics can improve resiliency in the dynamic grid [77]. Different from the traditional power grids, modern grids are facing a high penetration of distributed renewables more than ever before and a lot of research has been devoted to capture the uncertainties in the power grid (both transmission and distribution) to harness their full potential in the operation and control paradigms [54, 55, 78–83]. Meanwhile, as the number of consumers connected to the grid increases, the loads become more active and controllable, and the storage devices also need to keep the pace with such evolution. Additionally, new technologies like energy storage resources and electric vehicles have been proliferated in recent years in power grids, making it a heterogeneous ecosystem of physical and cyber infrastructures [72, 84–92].

Access to high-fidelity measurements in power distribution systems is particularly critical, and at the same time challenging due to the following reasons [93]:

- (i) The length of the power distribution lines is usually between 5 to 10 kilometers, resulting in the phase angle difference between the two ends of the line to be commonly small (sometimes even lower than 0.1°).
- (ii) The proliferation and rushing arrival of renewables have increased the complexity in the grid structure and the way electricity flows in the network. A three-phase unbalance architectures are commonly seen in power distribution systems, which could result in more than 30% inter-harmonics and under 60dB noise conditions.
- (iii) The fast switching characteristics of power electronic devices lead to more electrical transients, further mandating the higher efficiency

and dynamic tracking capability of the event detectors in the power distribution sector.

While PMU measurements can be shared over communication networks in real-time and collected at a centralized platform, called Phasor Data Concentrators (PDC) [94] for further processing, the underlying network models are mostly unavailable or incomplete. In most cases, PMU data is hardly available in full (but limited) for research through the non-disclosure agreements (NDAs) [95]. This makes it challenging to get the most out of the synchronized measurements for fault detection and localization applications when the real-time network topology is unknown or not accurate. The problem of estimating the state of the power grid is usually divided into two interrelated phases: the first is the state estimation in which the estimated value is the voltage at all buses across the network, and the second is topology processing and topology error detection, in which the breaker status is used to track the current topology of the grid, and to detect and correct the errors in the calculated topology. These two stages iterate, and the combined process is known as a generalized state estimation [96]. With the measurements received from the  $\mu$ PMUs and when judiciously integrated with the topological processing of the visual images, the abnormal conditions in the distribution network (e.g., fault location, fault detection, etc.) can be better handled, further improving the network reliability, reducing the economic losses, and mitigating the electrical safety concerns.

## 1.4 PMU Operating Principle

A Phasor Measurement Unit (PMU) is a device used to measure the magnitude and phase angle of an electrical waveform, i.e., phasor quantity (such as voltage or current) in the electricity grid using a common time source for synchronization [97]. Due to the fact that the time is synchronized by the Global Positioning System (GPS), PMUs are able to capture real-time electrical phasor quantities from multiple remote points on the power grid, thereby providing a real-time snapshot of the entire grid making it possible to approach wide-area monitoring, protection and control.

Additionally, PMUs are also used to measure the frequency in power grids. A typical commercial PMU could report measurements with a high temporal resolution in the order of 30-60 measurements per second. This helps engineers in analyzing dynamic events in the grid which is not possible with traditional SCADA measurements that generate one measurement every 2 or 4 seconds [98]. Hence, the synchronization ability makes PMU have a critical role in protecting the electric systems from power outages, because they could reduce the grid's stress caused by imbalances in power supply and demand.

A PMU could measure 50/60 Hz AC waveforms (voltages and currents) typically at a rate of 48 samples per cycle making them effective at detecting fluctuations in voltage or current at less than one cycle. Phasor measurements from PMUs are constructed from cosine waves, that follow the structure below [99]:

$$Acos(\omega t + \theta)$$
 (1.1)

Wherein, *A* is the scalar value, and usually stands for voltage or current magnitude;  $\theta$  is the phase angle offset from some defined starting position;  $\omega$  is the angular frequency of the waveform, that is most often described as a constant of  $2\pi50$  Hz or  $2\pi60$  Hz. It is worth noting that when the frequency does not oscillate around or near 50/60 Hz, PMUs are not able to accurately reconstruct these waveforms, because the PMU is unable to fit the waveform exactly when it is non-sinusoidal [99].

Historically, only small numbers of PMUs have been used to monitor transmission lines with acceptable errors of around 1%. While PMUs are generally used on transmission systems, new research is being done on the effectiveness of  $\mu$ PMUs for power distribution systems. That is because the PMU can not only be a dedicated stand-alone sensor, but also its functionality could be incorporated into a protective relay or other intelligent electronics devices [100].  $\mu$ PMUs can help decrease the error in the phase angle measurements of the distribution line from ±1° to ±0.05°, giving a better representation of the true phase angle value [24].

#### 1.5 Convolutional Neural Network

First of all, the differences among Artificial Intelligence (AI), Machine Learning (ML) and Deep Learning (DL) need to be known. As Figure 1.2 shows below, Artificial Intelligence is a science field that aims at finding solutions to complex problems like humans do. A decision mechanism that is similar to a real human decision mechanism is tried to be modeled with some algorithms. Machine learning is a sub-domain of artificial intelligence. Machine learning uses mathematical and statistical ways to extract information from data, and with that information, ML tries to guess the unknown. Deep learning is a sub-domain of ML and tries to learn the data with the artificial neural network approach [101, 102].

In machine learning, artificial neural networks (ANNs) are abstractions of biological neurons that can be trained to perform useful functions like human brain [103]. A Convolutional Neural Network (CNN, or ConvNet) is a class of deep neural networks, most commonly applied to analyzing visual imagery since the 1980s [104]. They are also known as Shift Invariant or Space Invariant Artificial Neural Networks (SIANN), based on their shared-

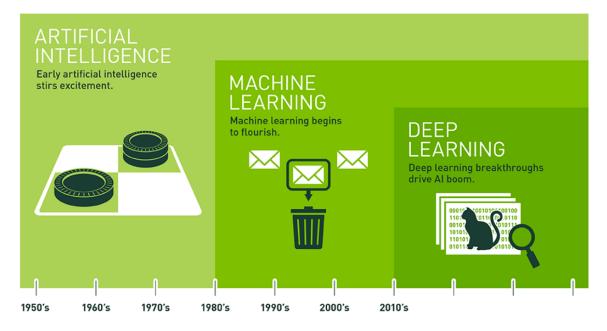


Figure 1.2: Evolution of Artificial Intelligence [2]

weights architecture and translation invariance characteristics [105, 106].

CNNs are regularized versions of multilayer perceptrons. CNNs are a derivative of standard neural networks which are made up of neurons with learnable weights and biases. Multilayer perceptrons usually mean fully connected networks, that is, each neuron in one layer is connected to all neurons in the next layer. The "fully-connectedness" of these networks makes them prone to overfitting data. But instead of using fully connected hidden layers in the regular neural network, the CNN introduces a special network structure, which consists of convolution layers and pooling layers, to address the challenges in the computer vision [107].

CNNs take a different approach towards regularization: they take advantage of the hierarchical pattern in data and assemble more complex patterns using smaller and simpler patterns. Therefore, on the scale of connectedness and complexity, CNNs are on the lower extremity [108]. Each neuron receives several inputs, takes a weighted sum over them, passes it through an activation function and responds with an output. Typical ways of regularization include adding some form of magnitude measurement of weights to the loss function. Figure 1.3 shows an architecture of CNN. *Convolution* has the nice property of being translational invariant. Intuitively, this means that each convolution filter represents a feature of interest (e.g pixels in letters) and the CNN algorithm learns which features comprise the resulting reference (i.e. alphabet) [107].

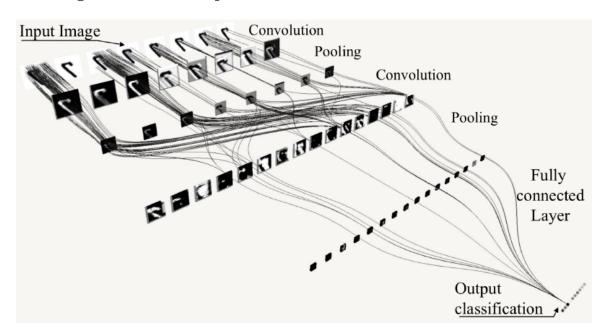


Figure 1.3: Convolutional Neural Network Architecture [3]

After convolution, the next step is to add an *activation* function. Usually, it could be Rectified Linear Unit (ReLU). ReLU transform function only activates a node if the input is above a certain quantity; the input is below zero, the output is zero, but when the input rises above a certain threshold, it has a linear relationship with the dependent variable. And the *Pooling* Layer is to shrink the image stack into a smaller size. If the size of data is still huge, the convolution and pooling steps are repeated. Finally, the *Fully-Connected* Layer (FC) is to flatten all the shrunken layers and stack up

them into one, normally through the Softmax function [107]. At this point in time, the training part for neural network is done, and further steps are to predict and check the working of the classifier.

#### 1.6 Thesis Outline

To overcome the limitations of the traditional mathematical models, this thesis proposes a machine learning framework for online identification of the distribution network topology. The neural network is trained using  $\mu$ PMU measurements across the network—voltage, current magnitudes, and their phase angles—and achieves the real-time network topology with high accuracy even under noise and missing entries in PMU measurements. The measured data are rearranged into 2-D matrices (heatmaps), where the suggested CNN [69] takes them as the input. The performance of the proposed algorithm is tested and verified in a radial three-phase unbalanced distribution network.

The rest of the thesis is structured as follows: Chapter 2 provides a literature review on the topic and discusses the design of the proposed convolutional neural network framework. Chapter 3 implements the suggested approach on the IEEE 34-Node Test Feeder in MATLAB/Simulink platform and generates  $\mu$ PMU heatmaps under different system operating scenarios. Chapter 4 introduces the proposed CNN framework, and how it is implemented through Python. Section 5 presents the numerical studies and the network topology identification results in power distribution systems with noisy and missing measurements. Finally, the thesis is concluded in Chapter 6.

#### **Chapter 2:** Literature Review

#### 2.1 Introduction

With the increasing growth of distributed energy resources in the power grid, more observability and controllability will be needed to accurately monitor the power flows and the unfolding conditions. In 1893, Charles Proteus Steinmetz presented a paper on simplified mathematical description of the waveforms of alternating current electricity. Steinmetz called his representation a phasor [109]. With the invention of PMU in 1988 by Dr. Arun G. Phadke and Dr. James S. Thorp at Virginia Tech, Steinmetz's technique of phasor calculation evolved into the calculation of real-time phasor measurements that are synchronized to an absolute time reference provided by the Global Positioning System (GPS). People therefore refer to synchronized phasor measurements as synchrophasors. Early prototypes of the PMU were built at Virginia Tech, and Macrodyne built the first PMU (model 1690) in 1992 [110].

In order to enhance the power grid resilience, grid operators need reliable and continuous monitoring of distributed energy resources (DERs). The concept of distribution automation was proposed to be used as the business-to-people (B2P) intelligent control between the power generation and consumer terminals, and help automatically realizing a sustainable grid operation [111].

On the other hand, as Figure 1.2 shows in Chapter 1, deep learning is a subset of AI and machine learning that uses multi-layered artificial neural networks to deliver state-of-the-art accuracy in tasks such as object detection, speech recognition, language translation and others. Deep learning differs from traditional machine learning techniques in that they can automatically learn representations from data such as images, video or text, without introducing hand-coded rules or human domain knowledge. Their highly flexible architectures can learn directly from raw data and can increase their predictive accuracy when provided with more data.

Figure 2.1 is a two-dimension scheme for classification of deep learning based on recommender system; the left part illustrates the first dimension, and the right part illustrates the second dimension [4].

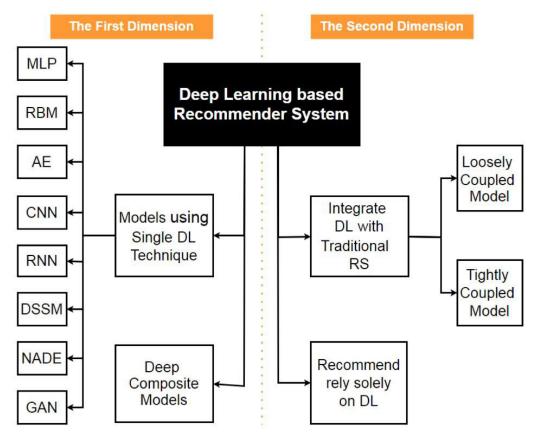


Figure 2.1: Two-dimension Deep Learning Framework based on Recommender System [4]

It is obvious that in the first dimension, Multilayer Perceptron (MLP), Restricted Boltzmann Machines (RBM), Autoencoder (AE), Convolutional Neural Network (CNN), Recurrent Neural Network (RNN), Deep Structured Semantic Model (DSSM), Neural Autoregressive Distribution Estimation (NADE), and Generative Adversarial Network (GAN) all belong to Deep Learning (DL) techniques.

Within the family of neural networks, and to train the model with a grid-like topology such as images, deep CNN has been one of the greatest breakthroughs [112, 113]. As it shows in Figure 2.2, the CNN structure consists of a convolutional layer, a pooling layer, and fully connected layers (FCs). When applied to single-label (multi-class) image classification, CNN can handle well-aligned images very well [114].

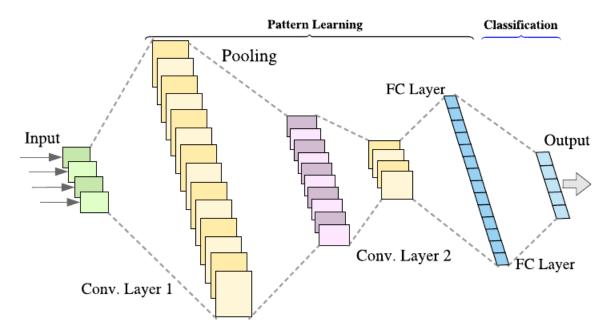


Figure 2.2: A Two-Convolution-Layer CNN Structure

By definition, CNNs are simply neural networks that use convolution in place of the FC layer in that least one of their layers [115]. In general, the implementation of the convolution is actually the cross-correlation assessment and defined by

$$\boldsymbol{s}^{p}(\boldsymbol{m},\boldsymbol{n}) = \sum_{u} \sum_{v} \sum_{w} \boldsymbol{I}^{u}(\boldsymbol{m}+v,\boldsymbol{n}+w) \boldsymbol{K}^{p}(v,w), \qquad (2.1)$$

where  $s^{p}(m,n)$  is the output of the convolutional layer at position (m,n) and p-th channel,  $I^{u}$  is the u-th channel of the data volume, and  $K^{p}$  is the p-th convolutional kernel. A nonlinear activation function is applied to the output of the convolution output, and the final activations of neurons in a convolutional layer are

$$I_l = \sigma(s), \tag{2.2}$$

where  $I_l$  represents the output volume of the *l*-th layer, and  $\sigma(\cdot)$  represents the non-linearity of the neurons. By stacking the convolutional layers, the abstraction capacity of the network increases [116].

The representations (outputs) of the last convolutional (Conv) layer are expanded to vectors and processed by the general fully-connected layers, which transform the representations with more nonlinearities and into spaces with different (higher or lower) dimensions. The final layer of a CNN usually reduces the dimensionality of the representations to the number of the classes; cross-entropy [117] is then employed to measure the "goodness" of the classification (Kullback-Leibler divergence between the predicted distribution and the target distribution). Finally, gradients of the crossentropy loss function with respect to the parameters in the CNN are used to train the CNN by back-propagation.

#### 2.2 State-of-the-Art Research

The main challenge in DER monitoring at all times is that it is difficult to obtain the real-time grid topology. A variety of research methods have been proposed to identify the power system topology from synchrophasor measurements, and several methods of external network modeling were discussed to implement online security analyses [118]. Mathematically,

grid topology identification could be realized through voltage estimation across the grid [119], but the accuracy goes low when this method is applied on the topology of a frequency changing distribution grid or with limited  $\mu$ PMU sensors [120–123]. In [124], a Jacobian-based equivalent approach is used for detecting the electrical network topology changes in the external system. An approach which is a hybrid of power flow and state estimation is discussed in [125]. A method to capture the network topology changes based on an extended Ward equivalent is discussed in [126]. H. Singh, et al. There are also distribution network specific methods based on various assumption topologies, [127] introduced a technique that estimates the status of a suspect lines as part of the state estimation process. Focused on the transmission systems with inaccurate parameters, an offline REI (radial, equivalent, independent) equivalent [128] is suggested to be built from a base-case condition and to be updated using online data [129]. In order to improve the accuracy of the topology detection process, the problem of using telemetry data to correct and adjust the transmission parameters are considered in [130]. The network topology estimation accuracy could vary greatly depending on the information injections at the non-PMU buses. If the injections at the non-PMU buses are zero, the estimates will be the true equivalent at the PMU buses. In [131], a least-square "model-free" approach is proposed to estimate the equivalent power system topology by calculating the load variations with limited observation at each bus. In [132], a method for visualizing PMU data by reducing the system to an equivalent model at the PMU buses is discussed, which assumes the electrical network topology is known; an equivalent procedure is performed to reduce the network to a Ward type equivalent at the PMU buses. Another useful visualization technique has been done by biplots introduced in [133]. S. V. Wiel, et al. [96]

developed a greedy search algorithm to estimate the current topology of a power grid from phasor measurements. It studies the PMU placement at strategic points in a distribution system [134] to achieve a promising sensitivity to single-line outages. G. Cavraro, et al. [135] proposed a novel method for topology detection in distribution networks called the Time-Series Signature Verification for Topology Detection (TSV-Top). This approach relies on measurement time series from PMUs and performs the projection of actual voltage phasor patterns onto a library of signals associated with possible topology transitions of a given distribution network [136].

The above literature review revealed that most of the power grid topology identification and estimation tools are based on mathematical models, the majority of them assuming an electrical network topology first and then measure the collected data to compare the features and determine the accuracy of the previously assumed network topology. Such strategies are time-consuming, less accurate, and with practical limitations. On the contrary, there are more recent strategies leveraging machine learning advancements. Instead of accurately modeling the system, recent works have focused on training artificial neural networks to automatically recognize the electrical network topology and solve complex problems. In [137] and [138], two learning algorithms based on nodal voltage graphical models are introduced which can estimate the network topology under varying topological restrictions. D. Deka, et al. [139] developed a learning framework to reconstruct the radial operational structure of the distribution grid from synchronized voltage measurements across the network subject to the exogenous fluctuations in nodal power consumption. For the economic purposes, P. K. Ghosh, et al. [140] proposed a novel approach for complete system and fault observability using a minimum number of strategically-placed

PMUs. Reference [141] proposed a data-driven approach based on sensor measurements that identifies DERs' connectivity by converting topology identification problems to probability distance minimization problems via the Kullback-Leibler (KL) divergence metric.

#### 2.3 Proposed Framework

In this thesis, the proposed CNN for the heatmap classification in the IEEE 34-Node Test Feeder has the following architecture: Input( $33 \times 12$ )–Conv(32,  $5 \times 3$ )–Conv(32,  $3 \times 3$ )–FC(100)–FC(9). Note that the axes of the input heatmap are with different units; Therefore, narrow kernel has been chosen in the first Conv layer which could cover the 3-phase data in each group, and the stride of the convolution operation in the first layer is (3, 2)—other Conv layers' strides are (1, 1). This design processes the data in each group first, then combines the information of each group in the second Conv layer and the FC layer. Batch normalization [142] is used in each Conv layer. Dropout [143] is adopted in the last Conv layer and the FC layer to prevent over-fitting. ReLU was chosen as the nonlinearities in the neural net.

The proposed framework for online power system topology identification is illustrated in Figure 2.3. The  $\mu$ PMUs data is first used for offline training of the pre-built CNN model. The trained model is then used for online identification of the power distribution network topology.

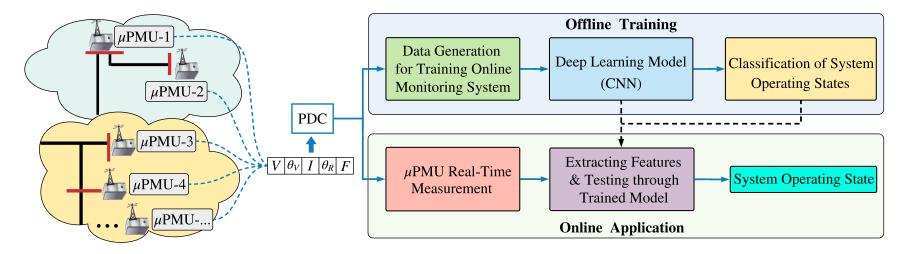


Figure 2.3: The proposed framework for power system online topology identification

#### Chapter 3: Simulation on MATLAB

#### 3.1 Background

MATLAB is a multi-paradigm numerical computing environment and proprietary programming language developed by MathWorks. MATLAB allows matrix manipulations, plotting of functions and data, implementation of algorithms, creation of user interfaces, and interfacing with programs written in other languages [144]. Simulink is a MATLAB-based graphical programming environment for modeling, simulating and analyzing multidomain dynamical systems. Its primary interface is a graphical block diagramming tool and a customizable set of block libraries. It offers tight integration with the rest of the MATLAB environment and can either drive MATLAB or be scripted from it. Simulink is widely used in automatic control and digital signal processing for multi-domain simulations and model-based designs [145–147].

#### 3.2 Scenario Generation

To run a model in MATLAB Simulink, we select the IEEE 34-Bus System as an example. This radial power distribution system is an actual feeder located in Arizona, the detailed information of which is provided in the Appendix A and its structure is illustrated in Figure A.1. The feeder's nominal voltage is 24.9 kV and is characterized by:

- (1) Very long and lightly loaded overhead distribution lines
- (2) Two in-line regulators required to maintain a good voltage profile across the network

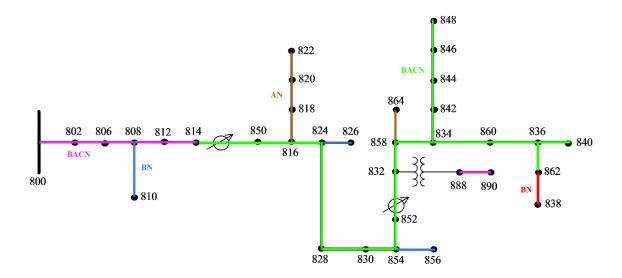


Figure 3.1: IEEE 34-Node Test Feeder with Colored Phasing.

- (3) A wye-wye grounded transformer reducing the voltage to 4.16 kV for a short section of the feeder
- (4) 24 unbalanced loading with both "spot" and "distributed" loads. Distributed loads are assumed to be evenly distributed on the distribution line.
- (5) Shunt capacitors

Except for the power generator at node 800, we can see there is one transformer located between node 832 and node 888. And there are two regulators located between node 814 to node 850, and between node 852 to node 832, respectively. From the information list above, this radial feeder contains unbalanced phases. In order to show it more intuitively, the next step is to mark branches with different status in different colors, as shown in Figure 3.1.

Different colors are here used to mark the phasing status, e.g., pink is used to mark the line 800-812 as BACN, meaning that it is a three-phase four-wire segment in the distribution grid, while line 808-810 is one-phase two-wire segment. Finally, to generate several scenarios, I added some details into the structure of the network as shown in Figure 3.2.

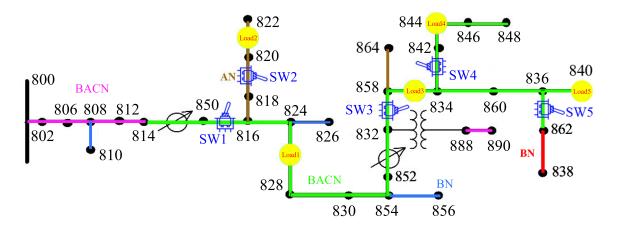


Figure 3.2: IEEE 34-Node Test Feeder Scenarios.

To gain a full observation of the IEEE 34-node test feeder as shown in Figure 3.2, I set 33  $\mu$ PMUs on each node expect node 800 (substation bus). In order to make the scenario more clear, I use the Table 3.2 here to mark the specific parameters involved. Table 3.1 shows the node a  $\mu$ PMU is connected to and the measurement captured at the measurement points.

$\mu$ <b>PMU</b>	802	820	832	848
(Voltage & angle, Current & angle)	806	822	888	860
$(3\times 2, 3\times 2)$ if three-phase	808	824	890	836
(6, 6) if three-phase	810	826	858	840
	812	828	864	862
	814	830	834	838
	850	854	842	
	816	856	844	
	818	852	846	

Table 3.1: Full observation  $\mu$ PMU Components

As shown in Table 3.2, in order to generate different electrical network topologies for the CNN training dataset, I also added 5 breakers to be able to change the structure of the feeder by switching the breaker on and off. Additionally, to generate more scenarios under one topology, here I marked 5 loads, so the  $\mu$ PMU data could be varying under different realizations of the load demand.

Breaker Name	<b>SW</b> *1	SW2 SW3		SW4	SW5	
Breaker Location	850-816	818-820	832-858	834-842	836-862	
Load Name	$Load1^{\dagger}$	$Load2^{\dagger}$	$Load3^{\dagger}$	Load4	Load5	
Location	824-828	820-822	858-834	844	840	

Table 3.2: Network Model Specifications and Variables

\* SW: Breaker

<sup>†</sup>: Distributed load

In this table, Load 1, 824-828 means it is a distributed load; Load 4, 844 means it is a spot load. It is known that I can generate different  $\mu$ PMU data through adjusting the impedance of the loads. But in MATLAB Simulink, the block parameter of Three-Phase Series RLC Load does not own the "impedance" parameter label, the panel is shown below in Figure 3.3. Accordingly, I turned to adjust active power, inductive reactive power, and capacitive reactive power of the load instead.

Table 3.3 shows the result of the final generated scenarios. Take Topology 2 as an example, where SW 1 was 1, SW 2 and 3 were 0, which means when Breaker 850-816 was closed (status=1), Breaker 818-820 and Breaker 832-858 were opened (status=0), and the entire branch behind 858 was off-line. The red 0 stands for assuming all the rest be opened for reducing the unnecessary calculations. Because all four loads expect Load 1 were off-line, the marked 0 means it is meaningless to discuss the load change. Due to the fact that the network Topology 5 is too similar with Topology 4

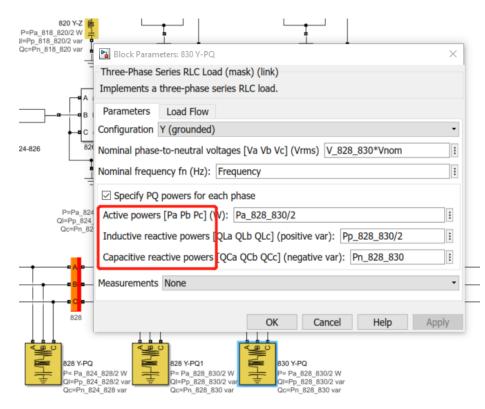


Figure 3.3: Three-Phase Series RLC Load Block Parameter Panel.

Topology	SW1	SW2	SW3	SW4	SW5	Load1	Load2	Load3	Load4	Load5
1	0	0	0	0	0	0	0	0	0	0
2	1	0	0	0	0	1	0	0	0	0
3	1	1	0	0	0	1	1	0	0	0
4	1	0	1	0	0	1	0	1	0	1
5	1	0	1	0	1	1	0	1	0	1
6	1	0	1	1	0	1	0	1	1	1
7	1	0	1	1	1	1	0	1	1	1
8	1	1	1	0	0	1	1	1	0	1
9	1	1	1	0	1	1	1	1	0	1
10	1	1	1	1	0	1	1	1	1	1
11	1	1	1	1	1	1	1	1	1	1

Table 3.3: Generated Network Topology Scenarios

in terms of  $\mu$ PMU data, and Topology 1 and 2 could produce too little data which violates the balance in machine learning training data [148], keen considerations were taken in generating different load scenarios. Here we focus on 8 different topology scenarios that marked with gray blocks.

Table 3.4 shows the general operating status configuration of the 8

simulated network topologies, and the scenario number respectively.

Topology	SW1	SW2	SW3	SW4	SW5	Number of Scenarios
1	1	1	0	0	0	1600
2	1	0	1	0	0	2197
3	1	0	1	1	0	2401
4	1	0	1	1	1	2401
5	1	1	1	0	0	2401
6	1	1	1	0	1	2401
7	1	1	1	1	0	3125
8	1	1	1	1	1	3125

Table 3.4: Network Topology Realizations with the Corresponding Number of Generated Scenarios

Let the load change amplitude be uniformly distributed between 95% to 105% of the rated demand at each load point. In Topology 1, only Load 1 and Load 2 are served through the connected distribution line and it is not necessary to adjust the remaining three load points for scenario generation. Assuming each load has 40 possible amplitudes in the constrained range above, the total number of scenarios is found  $40^2$  in this case, i.e., 1600. Under the network Topology 8, all five loads are being served in the distribution grid, and as each one is characterized with 5 possible amplitudes for the training process, there are  $5^5$ , i.e., 3125, number of scenarios generated. The total number of generated scenarios that contribute to the training dataset is found 19651.

Figure 3.4 shows all topologies that I used to to train the CNN. To summarize, I generated 19651 scenarios within 8 topologies, and there are 33  $\mu$ PMUs in the whole test feeder. The Figure 3.5 is an example for a 33 by 12 matrix that was generated under one scenario; The program I used to generate  $\mu$ PMU data through MATLAB is attached in Appendix B.

As the screenshot of EXCEL shows above, columns A, B, and C stand

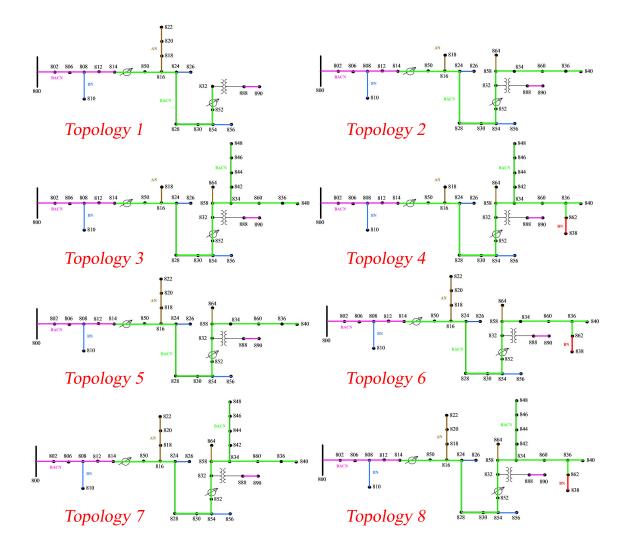


Figure 3.4: All Studied Network Topologies

		$V_a$	$V_b$	$V_c$	$ heta_{Va}$	$ heta_{Vb}$	$\theta_{Vc}$	$I_a$	$I_b$	$I_c$	$ heta_{Ia}$	$ heta_{Ib}$	$ heta_{Ic}$
		A	В	C )	D	E	F	G	Н	1	, J	К	L
	1	1.048623	1.049323	1.049391	-8.42E-05	-0.66681	0.666655	0.70592	0.54974	0.417023	-0.11972	-0.77278	0.559939
(	2	1.04769	1.048916	1.049007	-0.00014	-0.66691	0.666651	0.706332	0.486436	0.363541	-0.1201	-0.76787	0.567628
	3	1.030076	1.042183	1.042223	-0.00131	-0.66862	0.666715	0.714104	0.489543	0.36934	-0.12702	-0.77828	0.555288
	4	0	1.042034	0	0	-0.66863	0	1.45E-15	0.018129	1.45E-15	-0.32245	-0.81621	-0.32245
	5	1.009424	1.035278	1.033791	-0.00273	-0.67041	0.666909	0.723361	0.458324	0.376668	-0.13478	-0.78936	0.541531
	6	0.992866	1.029663	1.026907	-0.00381	-0.67176	0.667135	0.730844	0.462029	0.382887	-0.14071	-0.79924	0.531091
	7	0.992859	1.02966	1.026904	-0.00381	-0.67176	0.667135	0.730847	0.462031	0.382889	-0.14071	-0.79924	0.531087
	8	0.992631	1.029569	1.0268	-0.00382	-0.67177	0.667143	0.73092	0.462073	0.382951	-0.14077	-0.79934	0.530987
	9	0.991771	0	0	-0.00384	0	0	0.347288	1.45E-15	1.45E-15	-0.13913	-0.32245	-0.32245
	10	0.969833	0	0	-0.00416	0	0	0.28177	1.45E-15	1.45E-15	-0.15202	-0.32245	-0.32245
	11	0.967027	0	0	-0.00418	0	0	0.141679	1.45E-15	1.45E-15	-0.15644	-0.32245	-0.32245
	12	0.988977	1.025492	1.023638	-0.0037	-0.67195	0.6671	0.386352	0.458374	0.379662	-0.14635	-0.80477	0.530013
	13	0	1.025294	0	0	-0.67195	0	1.45E-15	0.045128	1.45E-15	-0.32245	-0.81953	-0.32245
	14	0.98868	1.02524	1.023364	-0.0037	-0.67196	0.667099	0.386558	0.368755	0.371345	-0.14664	-0.8023	0.529973
	15	0.981551	1.019039	1.016776	-0.0036	-0.67217	0.667087	0.376879	0.371703	0.375541	-0.15461	-0.81023	0.523259
	16	0.981392	1.018888	1.016624	-0.0036	-0.67218	0.667088	0.337099	0.348934	0.338178	-0.14875	-0.81001	0.513234
$33 \mu \text{PMUs} \prec$	17	0	1.018796	0	0	-0.67222	0	1.45E-15	0.004574	1.45E-15	-0.32245	-0.8198	-0.32245
í 🔪	18	0.970044	1.008306	1.005683	-0.00336	-0.67231	0.667246	0.346462	0.34932	0.346529	-0.16309	-0.83123	0.50061
	19	0.970041	1.008303	1.00568	-0.00336	-0.67231	0.667247	0.346464	0.349322	0.346531	-0.1631	-0.83123	0.500607
	20	0.93274	0.970755	0.967741	-0.01281	-0.6815	0.658411	0.345628	0.348452	0.345657	-0.16285	-0.83097	0.500847
	21	0.844252	0.891217	0.887066	-0.01511	-0.68958	0.654236	0.345699	0.348494	0.34572	-0.16295	-0.83109	0.500749
	22	1.88E-13	3.81E-13	2.15E-13	-0.14854	0.812369	-0.31514	4.17E-14	7.99E-14	4.81E-14	-0.23005	0.63634	-0.50397
	23	1.90E-13	0	0	-0.14943	0	0	3.97E-16	1.45E-15	1.45E-15	-0.29701	-0.32245	-0.32245
	24	1.87E-13	3.81E-13	2.15E-13	-0.14918	0.812413	-0.31507	3.91E-14	7.27E-14	4.18E-14	-0.23895	0.634222	-0.52047
	25	1.60E-28	1.61E-28	1.95E-28	0.972053	-0.99934	0.656793	1.67E-29	1.66E-29	1.52E-28	-0.54412	-0.54673	0.782888
	26	1.60E-28	1.60E-28	1.95E-28	0.97197	-0.99952	0.655245	1.79E-29	1.65E-29	1.52E-28	-0.48332	-0.54082	0.782446
	27	1.59E-28	1.60E-28	1.95E-28	0.97074	0.999207	0.654979	4.63E-29	5.03E-29	1.04E-28	-0.15256	-0.12641	0.861525
	28	1.59E-28	1.60E-28	1.94E-28	0.972372	-0.99844	0.65486	4.58E-29	5.80E-29	1.04E-28	-0.15209	-0.12833	0.861182
	29	1.88E-13	3.80E-13	2.15E-13	-0.14823	0.812295	-0.31514	3.18E-14	5.84E-14	2.96E-14	-0.30348	0.629856	-0.49288
	30	1.88E-13	3.80E-13	2.15E-13	-0.14851	0.812352	-0.31463	1.13E-14	2.42E-14	1.33E-14	-0.3428	0.632022	-0.41788
	31	1.88E-13	3.80E-13	2.15E-13	-0.14961	0.812534	-0.31464	4.04E-15	8.19E-15	4.61E-15	-0.36002	0.602117	-0.52506
	32	3.69E-15	1.51E-15	4.07E-16	0.010447	0.973447	-0.1555	3.58E-32	1.13E-15	0	0.221224	0.968464	0
	33	0	1.59E-18	0	0	0.624155	0	1.45E-15	4.69E-20	1.45E-15	-0.32245	0.476571	-0.32245
	_												

Figure 3.5: Example of a  $\mu$ PMU Data.

for the three-phase normalized voltage values (divided by nominal voltage), columns D, E, and F stand for the voltage phase angle radian values divided by  $\pi$ . And the last 6 columns G to L are the same as the previous 6 columns but the voltage variables are replaced with the current. The rows 1 to 33 represent the 33  $\mu$ PMUs. So all numbers in this matrix is ranged between 1.05 to -1.05, which are suitable for convolution calculations.

# 3.3 Simulink

To run the entire test feeder in MATLAB, the most important step is to model it in Simulink. Figure 3.6 shows the entire structure of the 34-Node Test Feeder.

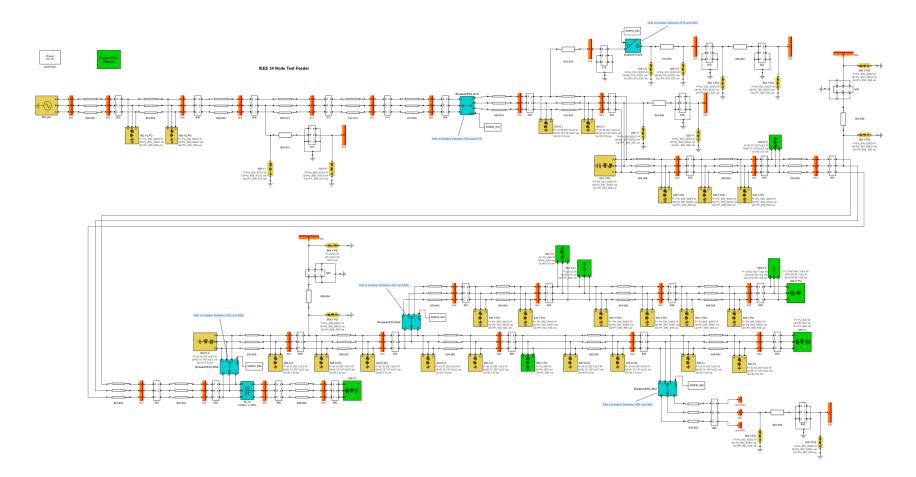


Figure 3.6: The structure of 34-Node Test Feeder in Simulink

## **3.3.1 Environment Block**

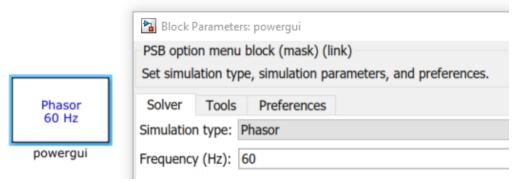


Figure 3.7: PSB option menu block

First, a "PSB option menu" block named "powergui" is added here, as Figure 3.7 shows. This block is an environment block for Simscape Electrical Specialized Power Systems models [149]. We choose the Simulation type as "Phasor", and set the Frequency to 60 Hz.

#### **3.3.2 Power Source Block**

From Appendix A, the feeder's nominal voltage is 24.9 kV, which means the phase-to-phase nominal voltage is 24900 V. We then add a Three-Phase Source block from Simscape toolbox as the main voltage source shown below.

We set the configuration as "Yg" which means it is Y-connected to the ground. And select the specified internal voltages for each phase. For line-to-neutral voltage, it should be the phase-to-phase nominal voltage divided by  $\sqrt{3}$  and multiplied by an efficiency factor (EF).

$$V_{\text{line-to-neutral}} = \frac{V_{\text{phase-to-phase nominal}}}{\sqrt{3}} \cdot \text{EF}$$
 (3.1)

For *short* lines, the efficiency factor is 1.05 meaning that there should be 5% used to compensate the voltage drop inside the winding when the

		Block Parameters: 800 gen Three-Phase Source (mask) (link) Three-phase voltage source in series with RL bran	nch.
	A 9	Parameters Load Flow	
$  \vdash ( \land )  $	в	Configuration: Yg	
<u> </u>	c –	Specify internal voltages for each phase	
800 gen		Line-to-neutral voltages [Va Vb Vc] (Vrms) [1.0	5 1.05 1.05]*Vnom
		Phase angle of line-to-neutral voltages [phia phil	b phic] (deg) [0 -120 +120]
		Frequency (Hz): Frequency	
		Impedance	
		🗆 Internal 🖂 S	pecify short-circuit level param
		3-phase short-circuit level at base voltage(VA):	Apparent_power_gen1
		Base voltage (Vrms ph-ph): Vnom_ph_ph	
		X/R ratio: 8	
		ОК	Cancel Help

I

Figure 3.8: The Three-Phase Source block

transformer is fully loaded. And for *long* lines, the first-end voltage should be 10% higher than the rated voltage of the line; that means the efficiency factor is 1.1, because there should be another 5% used to compensate the voltage loss of the long line. The frequency is the same as the "powergui" as 60 Hz. And the phase angles of the line-to-neutral voltages is  $0^{\circ}$ ,  $-120^{\circ}$ , and  $120^{\circ}$  because there is no lagging or leading for this test network. Second, we use the Load Flow Bus blocks to simulate spots, and use Three-Phase VI Measurement blocks to simulate  $\mu$ PMUs.

#### **3.3.3** μ**PMU Block**

As shown in Figure 3.9,  $\mu$ PMU 806 is connected to a three-phase distributed load; so the "connectors" parameter label in the Load Flow Bus block should be "ABC". The "Base voltage" is 24.9 kV/ $\sqrt{3}$ . And the "Swing bus or PV bus voltage" and the "Swing bus voltage angle" should be according to the reference in Appendix A.4, where the values are shown in Appendix A.4.2.

In the Three-Phase VI Measurement block, the "voltage measurement" should be "phase-to-ground". To measure phase-to-ground voltages in per unit, the block converts the measured voltages based on the peak value of the nominal phase-to-ground voltage as follows:

$$V_{abc}(pu) = \frac{V_{phase-to-ground}}{V_{base}},$$
 (3.2)

where

$$V_{\text{base}} = \frac{V_{\text{nom}}}{\sqrt{3}} \cdot \sqrt{2} \tag{3.3}$$

And I set the "Base power" here to 1.5e6 VA, so that the output could be

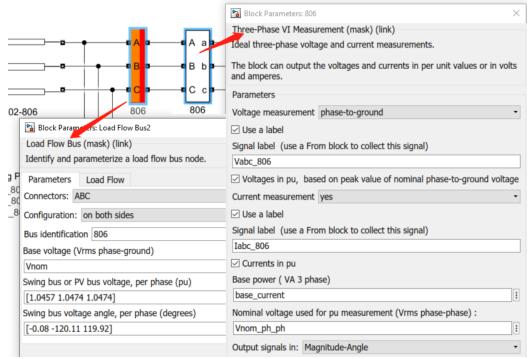


Figure 3.9: The  $\mu$ PMU Block in Simulink

converted into per-unit. The "Nominal voltage used for pu measurement" is 24.9 kV. And finally, I choose the "Output signals" as "Magnitude-Angle" to output the magnitudes and angles of the measured voltages and currents. Here I labeled each  $\mu$ PMU with voltage signal labels and current signal labels, so that all the results could be shown in "Data Inspector" after simulations.

## 3.3.4 Distribution Line Block

For distribution lines, we use the "Distributed Parameters Line" block from Simscape toolbox, as shown in Figure 3.10, to simulate the resistance of the distribution lines. As it shows, the distribution line 806-808 is a threephase line, so the "Number of phases" should be 3. The "Frequency" should be the same as the main bus, 60 Hz. As for the "Resistance per unit length", "Inductance per unit length", and "Capacitance per unit length" blanks, they should be 3 by 3 matrices for this three-phase segment. In "[r1 r0 r0m]", r1 means **positive resistances**, r0 means **zero-sequence resistances**, and r0m means **zero-sequence mutual resistances**. The rest symbols could be the same with "l" standing for inductance and "c" standing for capacitance.

	Block Parameters: 806-808					
•	Distributed Parameters Line (mask) (link)					
••	Implements a N-phases distributed parameter line model. The rlc parameters are specified by [NxN] matrices.					
- <b>••</b> - 806-808	To model a two-, three-, or a six-phase symmetrical line you can either specify complete [NxN] matrices or simply enter sequence parameters vectors: the positive and zero sequence parameters for a two-phase or three-phase transposed line, plus the mutual zero-sequence for a six-phase transposed line (2 coupled 3-phase lines).					
	Parameters					
	Number of phases [ N ]: 3					
	Frequency used for rlc specification (Hz): Frequency					
	Resistance per unit length (Ohms/km) [ NxN matrix ] or [ r1 r0 r0m ]: R_300					
	Inductance per unit length (H/km) [ NxN matrix ] or [ I1 I0 I0m ]: L_300					
	Capacitance per unit length (F/km) [ NxN matrix ] or [ c1 c0 c0m ]: C_300					
	Line length (km): 32230*ft2km					
	Measurements None					
	OK Cancel Help Apply					

Figure 3.10: Distributed Parameter Line Block in Simulink

In Table A.2, the line 806-808 owns the "Config." of 300, and from Appendix A.3, it is known that for "300 Config." lines:

$$R_{300'} = \begin{bmatrix} 1.3368 & 0.2101 & 0.2130 \\ 0.2101 & 1.3238 & 0.2066 \\ 0.2130 & 0.2066 & 1.3294 \end{bmatrix}$$
(3.4)  
$$X_{300'} = \begin{bmatrix} 1.3343 & 0.5779 & 0.5015 \\ 0.5779 & 1.3569 & 0.4591 \\ 0.5015 & 0.4591 & 1.3471 \end{bmatrix}$$
(3.5)

$$B_{300}' = \begin{bmatrix} 5.3350 & -1.5313 & -0.9943 \\ -1.5313 & 5.0979 & -0.6212 \\ -0.9943 & -0.6212 & 4.8880 \end{bmatrix}$$
(3.6)

We set the conversion factors as tabulated in Table 3.5:

Table 3.5: Convert Factors

miles to kilometers	mi2km	1.60934
feet to kilometers	ft2km	0.0003048
microsiemens to Farads	ms2F	$(1e-6)/(2 \cdot \pi \cdot 60)$

Finally, the three parameters in Figure 3.10 are converted to US customary units as follows:

$$R_{300} = \frac{R_{300'}}{\text{mi2km}},\tag{3.7}$$

$$L_{300} = \frac{X_{300'}}{\text{mi2km} \cdot 2 \cdot \pi \cdot 60},$$
(3.8)

$$C_{300} = \frac{B_{300'}}{\text{mi2km} \cdot \text{ms2F}}$$
(3.9)

## 3.3.5 Spot Load Block

There are 6 spot loads in IEEE 34-Node Test Feeder according to Table A.4, knowing that the load model for load 848 is "D-PQ", and  $V_{848} = [1.0311.0291.031] \cdot \sqrt{3}$ . As Figure 3.11 shows, I here use the "Three-Phase Series RLC Load" block to represent the spot loads.

For spot load 848, the "Active power" Pa\_848 = [20 20 20] kW and "Inductive reactive power" Pp\_848 = [16 16 16] kVAr are set. The "Capacitive reactive power" is set to Pn\_848 = [150 150 150] kVAr according to Table A.6.

	Block Parameters: 848 D-PQ	×
	Three-Phase Series RLC Load (mask) (link)	
	Implements a three-phase series RLC load.	
_	Parameters Load Flow	
2 <b>J</b>	Configuration Delta	•
N         T         P=[10e3 9e3 11e3] W           Sr         Construction         Construction           Sr         Construction         Construction           Sr         Construction         Construction           Sr         Construction         Construction	Nominal phase-to-phase voltages [Vab Vbc Vca] (Vrms) V_848*Vnom	
848 D-PQ	Nominal frequency fn (Hz): Frequency	
	Specify PQ powers for each phase	
	Active powers [Pab Pbc Pca] (W): Pa_848	:
	Inductive reactive powers [QLab QLbc QLca] (positive var): Pp_848	:
P=Pa_840 W QI=Pp_840 var CC=Pn_840 var 840 Y-I	Capacitive reactive powers [QCab QCbc QCca] (negative var): [0 0 0]	:
A a A	Measurements Branch voltages and currents	•
840	OK Cancel Help Ap	ply

Figure 3.11: Spot Load Block in Simulink

Hence, the "Configuration" should be "Delta", and "Nominal phase-to-phase voltage" should be  $V_{-}848 \cdot \frac{24.9}{\sqrt{3}}$  kV. The "Nominal frequency" remains the same, i.e., 60 Hz. For "Measurements", we select "Branch voltages and currents" to measure the three voltages and the three currents of the Three-Phase Series RLC Load block. And for "Load Flow", we choose "constant PQ", because "D-PQ" means the active power P and reactive power Q are kept constant and equal to the values specified on the Parameters tab of the block dialog box.

Notice that for some spot loads (e.g., load 844 and load 848 according to Table A.6 in IEEE 34-Node Test Feeder), the shunt capacitor is separated, so the parameter "Capacitive reactive power" should be set in another RLC load block with Pa\_848 and Pa\_848 be [0 0 0], and "Nominal phase-to-phase voltage" be  $[111] \cdot \frac{24.9}{\sqrt{3}}$  kV, and "Measurements" be "None", and "Load Flow" be "constant *Z*".

### 3.3.6 Distributed Load Block

There are 19 distributed loads in IEEE 34-Node Test Feeder according to Table A.5, knowing that the load model for load 828-830 is "Y-PQ", and  $V_828-830 = [1.0071.0151.011]$  kV according to Appendix A.4.4. The V\_828-830 should be multiplied by  $\sqrt{3}$  if it is Delta-connected. As Figure 3.12 shows, we here use the "Three-Phase Series RLC Load" block to represent the distributed loads.

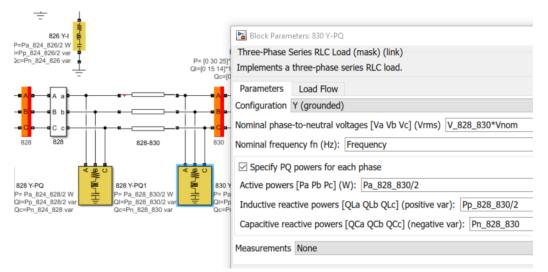


Figure 3.12: Distributed Load Block in Simulink

In order to balance the distributed load, we here let the load 828-830 be divided into 2 equivalent loads and hung on both sides of the distribution line 828-830. For one equivalent load, the "Configuration" is "Y (grounded)" because it is Y-connected. The "Nominal phase-to-phase voltage" is  $V_828-830 \cdot \frac{24.9}{\sqrt{3}}$  kV. The "Nominal frequency" is the same as 60 Hz. For distributed load 828-830, the "Active power" Pa\_828-830 = [7 0 0] kW, "Inductive reactive power" Pp\_828-830 = [3 0 0] kVAr, and "Capacitive reactive power" Pn\_828-830 = [0 0 0] kVAr are set, so the Pp\_828-830 does not need to be divided by 2. But in order to avoid errors in the simulation process, it is better to set all zeros into 1e-6. We set the "Measurements" be

"None", and "Load Flow" be "constant PQ".

# 3.3.7 Subsystem Block

As shown in Figure 3.6, the green square marked "Power-Flow Results" in the upper left corner collects all the data measured by the  $\mu$ PMUs of this test feeder, that is the Subsystem block.

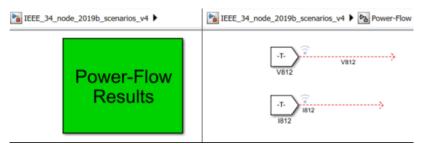


Figure 3.13: Results Subsystem Block in Simulink

The right hand side of Figure 3.13 shows a part of this Subsystem representing which types of  $\mu$ PMU data are collected. For example, for  $\mu$ PMU 812, the needed data are the voltage and currents; so two "From" blocks are linked with "V812" and "I812" where the labels in Figure 3.9 present the voltage and current captured by  $\mu$ PMU 812.

# Chapter 4: Machine Learning with Python

# 4.1 Introduction

For the general loopy power grids, there may be multiple paths between two nodes. If power distribution grids are featured with minimum cycle length greater than three, the nodal voltages are sufficient for efficient topology estimation without additional assumptions on the system parameters. In contrast, the detection of line failures or status change using nodal voltages does not require any structural assumption on the network [137, 138]. As for the case of the IEEE 34-Node Test Feeder, the minimum cycle length in a radial graph is considered to be infinite as it has no cycles by definition. Hence, using nodal  $\mu$ PMU measurements, such as voltage and current phasors, real-time network topology estimation on the IEEE 34-node feeder system is effectively viable.

The problem of detecting the network topology change can recast as a classification problem based on the heatmaps which are obtained by the  $\mu$ PMUs measurements. The conventional classification approaches often involve manually designed features like thresholds and signatures in each scenario. However, these approaches require the human expertise and the type of topology it can detect would be limited. E.g., a threshold may be suitable for a certain topology, but if one node becomes offline in the electrical network, the threshold would not work anymore. This thesis proposed an artificial neural network platform that can learn the features (representations) of the data automatically.

### 4.2 The Proposed Framework

As shown in Figure 2.3, the  $\mu$ PMUs data collected from each bus in the distribution network is first used for offline training of the pre-built CNN model. The trained model is then used for online identification of the power distribution network topology. A flowchart of the proposed CNN is shown in Figure 4.1, where the first step is to collect  $\mu$ PMU data and normalize them into per-units. Such data with their corresponding topologies are then inputted into the neural network, and the trained network learns to identify distribution grid topology with  $\mu$ PMU measurements. The CNN used cross-entropy as the loss function. Finally, additional  $\mu$ PMU measurements beyond the training set were used to verify the model accuracy.

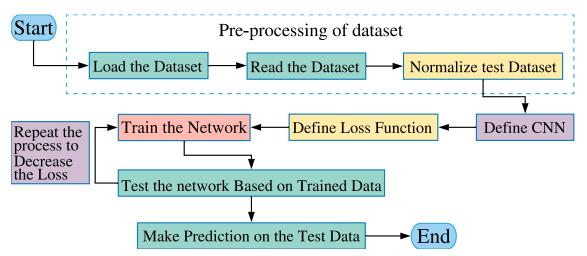


Figure 4.1: Proposed CNN Working Flowchart [5]

This CNN architecture will be used as a building block in the proposed framework that identifies the power distribution network topology in realtime. Upon simulating each scenario in MATLAB Simulink environment, the resulting  $\mu$ PMU data will characterize a 33 by 12 heatmap matrix which contains three-phase voltage, three-phase voltage angle, three-phase current, and three-phase current angles. For the nodal measurements that contain single-phase or two-phase data, we let the remaining entries be zero. Then we line up the data in a heatmap format into four groups, process the data in each group individually, and finally integrate the information in each group together. A partially connected neural network is dedicated to the processing of these groups of heatmaps. In practice, a partially connected neural network is equivalent to a CNN. I design a CNN by carefully selecting the kernels in the first layer. As mentioned before, simulation of each scenario results in a heatmap (see Figure 4.2 for a heatmap example); these heatmaps are used as the inputs to the proposed CNN.

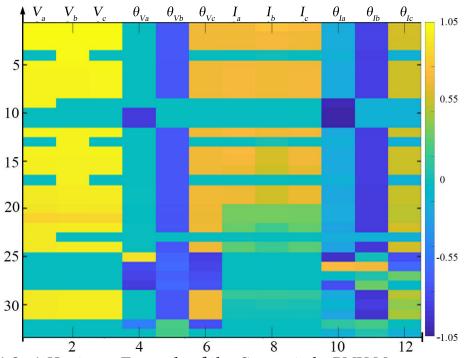


Figure 4.2: A Heatmap Example of the Generated  $\mu$ PMU Measurement Data Sample.

Comparing with the Figure 3.5, columns  $V_a$ ,  $V_b$  and  $V_c$  stand for the threephase voltages; columns  $\theta_{V_a}$ ,  $\theta_{V_b}$  and  $\theta_{V_c}$  stand for the three-phase voltage angles; columns  $I_a$ ,  $I_b$  and  $I_c$  stand for the three-phase currents; columns  $\theta_{I_a}$ ,  $\theta_{I_b}$  and  $\theta_{I_c}$  stand for the three-phase current angles.

## 4.3 Programming in Pycharm

PyCharm is an integrated development environment (IDE) used in computer programming, specifically for the Python language. It is developed by the Czech company JetBrains [150]. The program used for CNN framework is available in Appendix C. CUDA is a parallel computing platform and application programming interface model created by Nvidia [151]. It allows software developers and software engineers to use a CUDA-enabled graphics processing unit for general purpose processing – an approach termed GPGPU [152]. We here used the "Cuda" function in the main program to accelerate the calculation operations. Due to the fact that the size of the IEEE 34-Node Test Feeder is not that huge, we here used the two layer neural network, as in Figure 2.2, to train the framework (see the program in Appendix C.3). The proposed framework also contains a new function that accounts for loading the heatmap data from the training dataset in Appendix C.4. The program in Appendix C.1 sorts the  $\mu$ PMU data into three folders, "Train", "Test", and "Val", under all the scenarios mentioned in Section 3.2. After calculating the mean and standard deviation through the program in Appendix C.2, the training dataset were loaded into the neural network. The "optimizer" is here selected to be "Adam" [153] and the activation function is ReLU.

### Chapter 5: Numerical Case Study

### 5.1 Experiments Outline

As Figure 2.3 shows, the proposed deep learning framework is consisted of three parts. The first part is gathering  $\mu$ PMU data, the second part is training the CNN offline, and the third part is to identify the power grid topology by inputting real-time data into the trained neural network. In order to verify the practicality of the proposed framework, we here designed 5 experiments to verify the reliability of the framework:

- (i) Train a neural network based on the proposed deep learning framework under full network observation, where the training data were collected from each and every node in the grid, and test the accuracy of the power grid topology identification using this network under the scenarios in the presence of interfered data, e.g., noisy data or missing data.
- (ii) Train three neural networks based on the proposed deep learning framework under full network observation with interfered training data, test the accuracy of power grid topology estimation using the previously trained networks under the scenarios of inputting abnormal data beyond the training dataset.
- (iii) Train several neural networks based on the proposed deep learning framework under partially observable network conditions with fewer number of installed  $\mu$ PMU sensors, in which the input heatmaps in the training dataset would miss one or more rows of data. Then test the accuracy of power grid topology identification using the previously trained networks.

- (iv) For randomly missing  $\mu$ PMU measurements, train several neural networks based on the proposed deep learning framework under the sensor-rich scenarios, which is partial observation with two-third sensors, and with interfered training data. Then test the accuracy of power grid topology identification using the previously trained networks.
- (v) For economic purposes, train several neural networks based on the proposed deep learning framework under the sensor-less scenario, with partial observability achieved through one-third sensors, in which the missing input data were manually selected. Then test the accuracy of power grid topology identification using the previously trained networks, find the minimum number of the  $\mu$ PMU sensor that could efficiently observe the whole grid.

### 5.2 Data Generation and Preprocessing

In the case of IEEE 34-Node Test Feeder, the obtained data are 33 by 12 heatmaps which stand for 33  $\mu$ PMUs data points (rows) and are consisted of the voltage, current, and phase angle information (columns) from the  $\mu$ PMU measurements. These  $\mu$ PMU measurements are obtained under **full observations** of all nodes in the power network as mentioned in Section 3.2 and generated in the MATLAB environment. The entire test feeder was built in Simulink, and all block parameters were set according to the data provided in Appendix A [6].

# 5.2.1 Parallel Simulation

In order to ease the simulation complexity and computational burden, we here used a technique of parallel simulation operation in Simulink [154], which could run simulations of multiple scenarios simultaneously. As the progeam shows in Appendix B.2, the "parsim" function is used for parallel operations. The entry "simu\_number=5" means that 5 cores of the computer CPU (central processing unit) are assigned as "worker", so that the system could run 5 scenarios in each simulation time.

## 5.2.2 Data Classification

After the  $\mu$ PMU measurements were captured, all scenarios should be classified into three folders: training dataset, testing dataset, and validation dataset, where each folder contains 8 topologies as presented in Table 3.3. In order to facilitate the CNN computation, the columns of the heatmaps (such as per-unit voltage and current values) were normalized through a zero-mean and unity variance distribution. We randomly separate 80% of the total simulation outputs as the training dataset, 10% for testing dataset, and 10% for validation dataset.

## 5.3 Results Analysis

#### 5.3.1 Full Network Observation

First, a full observation in the network is studied wehre the data is collected at all 33  $\mu$ PMU data in the test feeder as shown in Table 3.1. In order to test the performance of the proposed framework, the experiments were conducted that are closer to the realistic situations. In the first group experiments, different interferences were applied in the dataset. The interferences include both the noise and the missing data. The accuracy of the proposed topology identification framework in the conducted experiments is shown in Table 5.1. Wherein, one epoch of training means every sample

in the training dataset is used in the training of the CNN once, and the SNR refers to the Signal-to-Noise Ratio. One can see that *as the number of training epochs increases, the accuracy also increases.* 

The "Validation Accuracy" in Table 5.1 corresponds to the condition when the neural network was trained by the *training dataset*, and the accuracy of identifying the electrical network topology is assessed using the *validation dataset* which is included in the training dataset; and the "Prediction Accuracy" refers to the condition when the neural network was trained by the testing dataset, and the accuracy of the network topology identification is assessed using the *testing dataset* which is excluded in the training dataset.

## 5.3.1.1 Topology Identification Analysis

<b>Test Scenarios</b>	Interference SNR (dB)	Number of Epochs	Best Validation Accuracy (%)	Best Prediction Accuracy (%)
Full Measurement	10	5	98.81	98.72
Full Measurement	10	10	98.81	98.81
Full Measurement	10	20	99.86	99.95
Full Measurement	20	20	100	100
Full Measurement	$20-50^{*}$	20	100	100
Missing One Data	-	20	100	100
Missing Two Data	-	20	99.91	99.95
Missing One Data	20	20	100	99.95
Missing Two Data	20	20	100	99.95
Missing One Data	10	20	99.95	99.82
Missing Two Data	10	20	98.86	98.99
Missing Two Data	$20-50^{*}$	20	100	100

Table 5.1: The identification accuracy of the interfered dataset under full observation

\*: the intensity of SNR is randomly selected in the range and applied on each data sample.

As one can see, the accuracy of the proposed electrical network topology identification scheme was never found lower than 95%. This is because for one epoch training, all the testing data were included in the training dataset. For example, for full measurement containing 10dB SNR, and when the number of epochs is 20, the neural network was trained by the training dataset that contained 10dB SNR, leading to an identification accuracy of 99.9%. Hence, the *prediction accuracy* cloud be achieved high as long as the neural network was trained well. In this situation, the prediction is actually called identification, because all scenarios are already known, taking advantage of a full observation. Additionally, when all measurements are available and the SNR is greater then 20dB, the proposed CNN can identify the system topology very accurately (the smaller the SNR, the greater the noise). Moreover, for well trained neural network, *the more missing data in the training dataset, the greater the positive impact on the accuracy of the prediction engine*.

## **5.3.1.2** Prediction Analysis

In the second group of experiments, the training and testing data are interfered with at different levels. The three "Training Data" in the first row represent three CNNs, which were trained by applying the datasets (i) containing 10dB SNR, (ii) containing one missing data with 10dB SNR, and (iii) containing two missing data with 10dB SNR respectively. The first column contains three situations which means the models are individually tested each with 800 samples (i.e., 100  $\mu$ PMU data were generated for each network topology) but with 40dB SNR. The electrical network topology identification accuracy is shown in Table 5.2.

Note that in order to conduct the tests closer to the realistic situations, when generating the training dataset, the data are interfered by taking out the missing entries first, then adding noise; On the contrary, when testing the model, the data were added with noise first and then the missing entries

Training Data Test Data	10dB SNR	Missing One Data and 10dB SNR	Missing Two Data and 10dB SNR
40dB SNR	71.88	80.75	94.00
Missing One Data 40dB SNR	72.75	80.63	93.62
Missing Two Data 40dB SNR	72.13	82.13	94.50

Table 5.2: The identification accuracy (%) by training and testing the CNN in different extents of interferences

were studied. The test data were beyond the training dataset, which means that the neural network identifies the system topology by estimating from the unknown inputs. For example, for  $\mu$ PMU data which contained 40dB SNR, using the network which was trained well by the dataset containing 10dB SNR to estimate the system topology will result in an overall identification accuracy of 71.88%.

All these 9 cases achieve the accuracy greater than 70%. One can see that using the same testing data, *if the training data has imperfections* such as missing, and/or outlier values, interferences, but under a certain level, *the trained neural network can provide more accurate results*. This is because the imperfections or complications in the training dataset can make the neural network become more versatile, and thus, the trained network would perform better. In all, the trained CNN under the greatest level of interference achieves a satisfactory topology identification accuracy, implying that the proposed CNN has a very good capacity of generalizing the trained data to unseen inputs.

### **5.3.2** Missing $\mu$ PMUs Observation

Table 5.1 shows that the proposed neural network framework could work well under the presence of missing data and noises. Hence, the next step is to verify if the framework could also work well under missing  $\mu$ PMUs instead of individual data.

# 5.3.2.1 Missing A Few $\mu$ PMU Sensors

For missing one  $\mu$ PMU, that means a whole row of data in Figure 3.5 is missing, we here replaced the entries with zero to represent such cases. Table 5.3 shows the identification accuracy under missing different numbers of  $\mu$ PMU.

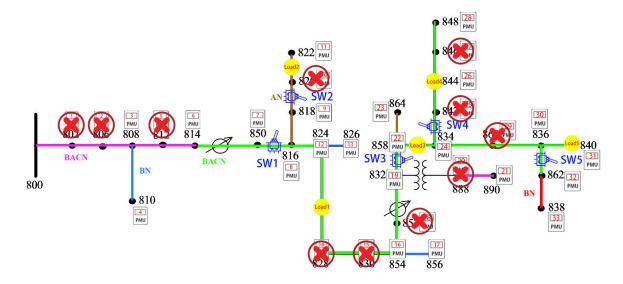
Test Scenarios	Number of Epochs	Best Validation Accuracy (%)	Best Prediction Accuracy (%)
32 µPMU Sensors	20	98.13	98.44
31 $\mu$ PMUs Sensors	20	99.68	99.67
30 $\mu$ PMUs Sensors	20	99.91	99.86
29 $\mu$ PMUs Sensors <sup>*</sup>	20	98.02	97.94
28 $\mu$ PMUs Sensors <sup>*</sup>	20	99.32	99.31
27 $\mu$ PMUs Sensors <sup>*</sup>	20	99.22	98.99
22 $\mu$ PMUs Sensors <sup>*</sup>	20	98.36	98.31

Table 5.3: The identification accuracy of the interfered dataset under missing several  $\mu$ PMUs observations

\*: the zeroed rows were randomly generated so there may be duplicates.

It can be seen from the first 3 rows of the table above that as the number of missing  $\mu$ PMUs increased, the identification accuracy has also increased, which is in line with the conclusion obtained by Section 5.3.1.

From the case "Missing 4  $\mu$ PMUs", the zeroed rows were randomly generated; Since it could be duplicate  $\mu$ PMUs, the accuracy observation has a small fluctuation from "Missing 3" to "Missing 4". But the trend from "Missing 4" to "Missing 5" is also rising. The accuracy of the proposed framework under "Missing 6" drops a bit; it may be due to the error in the random training of the neural network or the loss of more than a certain range of  $\mu$ PMUs. Hence, it can be seen that the accuracy of topology identification would not be sacrificed by missing a few  $\mu$ PMU sensors in the grid.



### 5.3.2.2 Partial Observability with Two-Third of $\mu$ PMU Sensors

Figure 5.1: The topology observation with 22  $\mu$ PMU sensors

From Table 5.1, it can be seen that the accuracy of the topology identification and estimation is high under full network observation. Although a comprehensive observation can give a very accurate forecast, it will undoubtedly increase the cost of the electrical equipment (investment costs of PMUs) and maintenance. It is therefore necessary to employ and study the least number of  $\mu$ PMUs to observe the entire electrical network. Since the full observation needs 33  $\mu$ PMUs across the network (one sensor at each node), we here use 22  $\mu$ PMUs, which are the two-third of  $\mu$ PMUs in the network, to observe the entire test feeder, and see how accurate the proposed analytics are under such circumstances.

The *locations* of the  $\mu$ PMUs cannot be randomly selected as in Section 5.3.2. The criterion for  $\mu$ PMU removal is by checking whether it is a duplicate  $\mu$ PMU on a same branch with no topology change. The remaining 22  $\mu$ PMUs are shown in Table 5.4. Comparing with Table 5.1, Table 5.5 below shows the identification accuracy of different cases under the availability

$\mu$ <b>PMU</b>	808	822	890	836
(Voltage & angle, Current & angle)	810	824	858	840
$(3\times 2, 3\times 2)$ if three-phase	814	826	864	862
(6, 6) if three-phase	850	854	834	838
	816	856	844	
	818	832	848	

Table 5.4: 22  $\mu$ PMU Components

of two-third of the  $\mu$ PMUs in the network.

Table 5.5: The identification accuracy of the interfered dataset under the two-third  $\mu$ PMUs observation

Test Scenarios	Interference SNR (dB)	Number of Epochs	Best Validation Accuracy (%)	Best Prediction Accuracy (%)
Two-Third Measurement	-	20	100	100
Two-Third Measurement	10	20	100	100
Missing One Data	-	20	100	100
Missing Two Data	-	20	100	99.95
Missing One Data	20	20	100	100
Missing Two Data	20	20	100	99.86
Missing One Data	10	20	100	100
Missing Two Data	10	20	99.82	99.73

It is obvious that the validation accuracy and prediction accuracy are both achieved the same high of full observation under the two-third observation, which means the neural network framework could also *work accurately under the two-third observation*. Because the  $\mu$ PMUs are reduced randomly, this experimental conclusion can save one-third of the cost on the premise of ensuring the accuracy of power grid topology identification.

# 5.3.2.3 Partial Observability with One-Third $\mu$ PMUs Sensors

Since the two-third observation could work accurately, we here observe the power grid with even fewer number of  $\mu$ PMUs. Figure 5.2 below shows a scenario in which 12 number of  $\mu$ PMUs are used to observe the entire network. Similarly, the locations of the  $\mu$ PMUs are specifically selected.

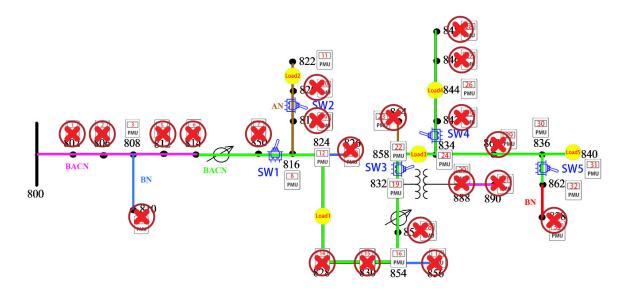


Figure 5.2: The topology observation with 12  $\mu\text{PMU}$  sensors

Table 5.6: 12  $\mu$ PMU Components

$\mu$ <b>PMU</b>	808	822	844
(Voltage & angle, Current & angle)	816	832	836
$(3\times 2, 3\times 2)$ if three-phase	822	858	840
(6, 6) if three-phase	824	834	862

<b>Number</b> μ <b>PMU</b>	12	11	10	9	8	7	6	5
808								
816				$\checkmark$	$\checkmark$			
822	$\checkmark$			$\checkmark$	$\checkmark$			
824								
854								
832								
858		$\checkmark$		$\checkmark$	$\checkmark$			
834		$\checkmark$		$\checkmark$	$\checkmark$			
844				$\checkmark$	$\checkmark$		$\checkmark$	
836		$\checkmark$		$\checkmark$				
840		$\checkmark$		$\checkmark$	$\checkmark$		$\checkmark$	
862				$\checkmark$	$\checkmark$		$\checkmark$	
Best Validation Accuracy (%)	100	100	98.72	94.11	88.82	88.99	98.45	54.52
Best Prediction Accuracy (%)	100	100	98.99	93.96	88.59	89.01	98.63	54.49

Table 5.7: Different numbers of  $\mu$ PMU Observation

 $\sqrt{}$ : be picked to observe the power grid.

The accuracy of the proposed topology identification algorithm with 12  $\mu$ PMUs is found still high and promising. Table 5.7 summarizes several conditions and the corresponding accuracy. The conclusion that can be drawn is under the situation of 5 breakers, placing at least 6  $\mu$ PMUs in suitable locations can ensure the accuracy of the observation of the entire power grid. The accuracy of case "5  $\mu$ PMUs" has significantly reduced because of the loss of  $\mu$ PMU 862, which is the only one that could observe the breaking of "SW 5".

The overall observation is that as the number of  $\mu$ PMUs decreases, the topology identification accuracy continues to decline. The accuracy of "6  $\mu$ PMUs" is achieved high which is most likely because the 5 breakers divided the test feeder into 6 zones, and the 6 manually selected  $\mu$ PMU positions are corresponded to 6 zones respectively. So the neural network can easily

distinguish their "0-1" situations. For manual selection of  $\mu$ PMU installation locations, one needs to ensure that there is at least one  $\mu$ PMU sensor on each independent power grid sub-branch, so that the actual *minimum number of*  $\mu$ PMUs installed is equal to the *number of the sub-branch plus one.* Hence, a full observation in the network will be achieved, enabling to harness the measurements for effective topology identification in real-time.

#### **Chapter 6:** Conclusion

### 6.1 Summary of Current Work

This thesis presents a deep learning framework for online detection of power distribution system topology. The proposed framework can handle missing measurements under unbalanced operating states in power distribution systems, and real-time topology identification (for within known database), and estimation (for the beyond known database) of the system topology following disturbances. The proposed framework utilizes phasor measurements from  $\mu$ PMUs at all buses (full observation) and a number of selected buses (partial observation). For *estimation* (prediction), the approach is manually adding several  $\mu$ PMU datasets that contain missing entries or noise or both, and test them with a trained neural network.

The experiments show that the proposed CNN framework not only handles the data with the same level of interference (noise and missing measurements), but also has the capacity of estimating the interfered data which has different distributions from the training examples. Numerical experiments proved that the proposed trained network can almost accurately identify the power network topology corresponding to the observed data beyond the training dataset. For random loss of  $\mu$ PMUs, the availability of  $\mu$ PMUs at two-thirds of the network buses can guarantee around 98% accuracy in the topology identification; For specifically generated scenarios, the number of  $\mu$ PMU that can promise the high identification accuracy should be equal to the number of branches that can be independent.

### 6.2 Future Research

Future work could be targeted at implementing the proposed framework on a larger real-world power grid, such as the IEEE 123-bus test system, and validating the results accuracy and computational effectiveness during real-time applications. Moreover, the performance of the proposed analytics in power grids with high penetration of renewable energy resources and energy storage technologies should be investigated [155–175]. Moreover, the role and performance of the proposed solutions in an integrated ecosystem of critical infrastructures (e.g., transportation, water, communication, etc) should be analyzed [176].

Additionally, the CNN optimizer was selected as "Adam" [153], which is an optimization algorithm that can be used instead of the classical stochastic gradient descent procedure to update the network weights iteratively based on the training data. There are also many other algorithms that could be tested and analyzed. Each algorithm owned its advantages and short-comings. Hence, follow-up research could try to use different algorithms and compare their performance in the network topology identification application. Additionally, this thesis mainly used CNN by training the PMU datasets to predict the power system topology, where the Python library in this thesis was Pytorch. There are some other libraries like TensorFlow and Keras that could be explored. Besides CNN, there are also many other ML algorithms, such as Support Vector Machine (SVM), Autoencoder (AE), and Capsule Neural Network (CapsNet), that could be approached in this application and under a variety of operating conditions in the power grid.

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#### Appendix A: IEEE 34 Node Test Feeder

### A.1 Introduction

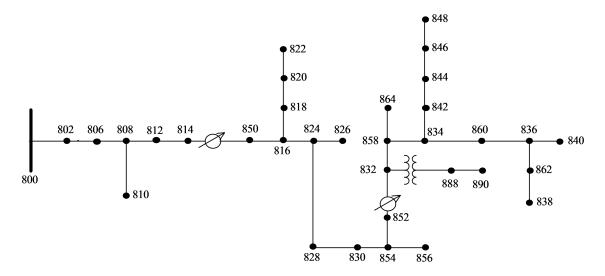


Figure A.1: IEEE 34-Node Test Feeder [6]

This feeder is an actual feeder located in Arizona. The feeder's nominal voltage is 24.9 kV. It is characterized by:

- (1) Very long and lightly loaded overhead distribution lines
- (2) Two in-line regulators required to maintain a good voltage profile across the network
- (3) A wye-wye grounded transformer reducing the voltage to 4.16 kV for a short section of the feeder
- (4) 24 unbalanced loading with both "spot" and "distributed" loads. Distributed loads are assumed to be evenly distributed on the distribution line.

(5) Shunt capacitors

Because of the length of the feeder and the unbalanced loading, the system may at times have a convergence problem.

# A.2 System Data

Here are the data forms originated from the IEEE PES AMPS DSAS test feeder working group [6].

Config.	Phasing	Phase $ACSR^1$	Neutral ACSR	Spacing ID
300	BACN	1/0	1/0	500
301	BACN	#2 6/1	#2 6/1	500
302	AN	#4 6/1	#4 6/1	510
303	BN	#4 6/1	#4 6/1	510
304	BN	#2 6/1	#2 6/1	510

Table A.1: Overhead Line Configurations [6]

<sup>1</sup> ACSR: Aluminum conductor steel reinforced.

Node A	Node B	Length (ft.)	Config.
800	802	2580	300
802	806	1730	300
806	808	32230	300
808	810	5804	303
808	812	37500	300
812	814	29730	300
814	850	10	301
816	818	1710	302
816	824	10210	301
818	820	302	
820	822	13740	302
824	826	3030	303
824	828	840	301
828	830	20440	301
830	854	854 520	
832	858	4900	301
832	888	888 0	
834	860	2020	301
834	842	280	301
836	840	860	301
836	862	280	301
842	844	1350	301
844	846	3640	301
846	848	530	301
850	816	130	301
852	832	10	301
854	856	23330	303
854	852	36830	301
858	864	1620	302
858	834	5830	301
860	836	2680	301
862	838	4860	304
888	890	10560	300

Table A.2: Line Segment Data [6]

Table A.3: Transformer Data [6]

	kVA	kV - high	kV - low	<b>R</b> - %	<b>X</b> - %
Substation	2500	69 - D	24.9 - Gr.W	1	8
XFM-1	500	24.9 - Gr.W	24.9 - Gr.W	1.9	4.08

Node	Load	Ph-1	Ph-1	Ph-2	Ph-2	Ph-3	Ph-4
noue	Model	kW	kVAr	kW	kVAr	kW	kVAr
860	Y-PQ	20	16	20	16	20	16
802	Y-I	9	7	9	7	9	7
806	Y-Z	135	105	135	105	135	105
808	D-PQ	20	16	20	16	20	16
808	D-I	150	75	150	75	150	75
812	D-Z	10	5	10	5	25	10
Total		344	224	344	224	359	229

Table A.4: Spot Loads [6]

Table A.5: Distributed Loads [6]

Node	Node	Load	Ph-1	Ph-1	Ph-2	Ph-2	Ph-3	Ph-3
Α	В	Model	kW	kVAr	kW	kVAr	kW	kVAr
802	806	Y-PQ	0	0	30	15	25	14
808	810	Y-I	0	0	16	8	0	0
818	820	Y-Z	34	17	0	0	0	0
820	822	Y-PQ	135	70	0	0	0	0
816	824	D-I	0	0	5	2	0	0
824	826	Y-I	0	0	40	20	0	0
824	828	Y-PQ	0	0	0	0	4	2
828	830	Y-PQ	7	3	0	0	0	0
854	856	Y-PQ	0	0	4	2	0	0
832	858	D-Z	7	3	2	1	6	3
858	864	Y-PQ	2	1	0	0	0	0
858	834	D-PQ	4	2	15	8	13	7
834	860	D-Z	16	8	20	10	110	55
860	836	D-PQ	30	15	10	6	42	22
836	840	D-I	18	9	22	11	0	0
862	838	Y-PQ	0	0	<b>28</b>	14	0	0
842	844	Y-PQ	9	5	0	0	0	0
844	846	Y-PQ	0	0	25	12	20	11
846	848	Y-PQ	0	0	23	11	0	0
Total			262	133	240	120	220	114

Table A.6: Shunt Capacitors [6]

Node	Ph-A	Ph-B	Ph-C	
Noue	kVAr	kVAr	kVAr	
844	100	100	100	
848	150	150	150	
Total	250	250	250	

Table A.7: Regulator Data [6]

<b>Regulator ID</b>		1			2			
Line Segment		814-850			852-832			
Location		814			852			
Phases		A-B-C			A-B-C			
Connection		3-Ph, LG		3-Ph, LG				
<b>Monitoring Phase</b>		A-B-C			A-B-C			
Bandwidth		2.0 volts			2.0 volts			
PT Ratio		120			120			
Primary CT Rating		100			100			
<b>Compensator Settings</b>	Ph-A	Ph-B	Ph-C	Ph-A	Ph-B	Ph-C		
<b>R-Setting</b>	2.7	2.7	2.7	2.5	2.5	2.5		
X-Setting Vlotage Level	$\begin{array}{c} 1.6\\ 122 \end{array}$			$\begin{array}{c} 1.6\\ 124 \end{array}$				

## A.3 Impedances

Configuration 300:

Z & B Matrices Before Changes -Z (R +jX) in ohms per mile  $1.3368 \quad 1.3343 \quad 0.2101 \quad 0.5779$ 0.2130 0.5015 1.3238 1.3569 0.2066 0.4591 1.3294 1.3471 B in micro Siemens per mile 5.3350 -1.5313-0.99435.0979 -0.62124.8880 Configuration 301: Z (R +jX) in ohms per mile 1.9300 1.4115 0.2327 0.6442 0.2359 0.5691 1.9157 1.4281 0.2288 0.5238 1.9219 1.4209 B in micro Siemens per mile 5.1207 -1.4364-0.94024.9055 -0.59514.7154 Configuration 302: Z (R +jX) in ohms per mile 2.7995 1.4855 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 B in micro Siemens per mile 4.2251

0.0000 0.0000 0.0000 0.0000 0.0000

Configuration 303:

Z (R +jX) in ohms per mile 0.0000 0.0000 0.0000 0.0000 0.0000

 $2.7995 \quad 1.4855 \quad 0.0000 \quad 0.0000$ 0.0000 0.0000 B in micro Siemens per mile 0.0000 0.0000 0.0000 0.0000 4.22510.0000 Configuration 304: Z (R +jX) in ohms per mile 0.0000 0.0000 0.0000 0.0000 0.0000  $1.9217 \quad 1.4212 \quad 0.0000 \quad 0.0000$ 0.0000 0.0000 B in micro Siemens per mile 0.0000 0.0000 0.0000 4.3637 0.0000 0.0000

## A.4 Power Flow Results

# A.4.1 Radial Flow Summary

RADIAL FLOW SUMMARY – DATE: 6–24–2004 AT 16:34:11 HOURS —
 SUBSTATION: IEEE 34; FEEDER: IEEE 34

SYSTEM	PHASE	PHASE	PHASE	TOTAL
INPUT	(A) -	(B) -	(C)	
kW :	759.136	666.663	617.072	2042.872
kVAr :	171.727	90.137	28.394	290.258
kVA :	778.318	672.729	617.725	2063.389
PF :	.9754	.9910	.9989	.9901
LOAD(	A–N) – – – (A–B) –   -	(B-N)(B-C)- -	(CN)(CA)-	WYEDELTA
kW :	359.9 246.4	339.3 243.3	221.8 359.0	921.0 848.8
TOT :	606.322	582.662	580.840	1769.824
	I	1	I	
kVAr :	230.9 128.7	216.9 128.7	161.8 184.6	609.6 441.9
TOT :	359.531	345.609	346.407	1051.547
	1	1	I	

kVA :	427.6 2	78.0	402.7	275.3	274.6	403.7	1104.5	957.0
TOT :	704.90	3	677	.452	676	.293	2058	.647
		I		1		I		
PF :	.8417 .8	8864	.8425	.8840	.8078	.8894	.8339	.8870
TOT :	.8601	I	.86	601	.85	589	.85	597
LOSSES -	(A)		(B)-		(C)-			
kW :	114.83	6	80	.389	77	.824	273	.049
kVAr :	14.20	0	10	.989	9	.810	34	.999
kVA :	115.71	1	81	.137	78	.440	275	.283
CAPAC -	-(A-N)(A		-(B-N)	-(B-C) -   -	-(C-N)	(CA)		-DELTA
R-kVA:	250.0	.0	250.0	.0	250.0	.0	750.0	.0
TOT :	250.00	0	250	.000	250	.000	750	.000
		I		I		I		
A-kVA:	265.7	.0	264.8	.0	265.9	.0	796.3	.0
TOT :	265.65	8	264	.760	265	.869	796	.287

# A.4.2 Voltage Profile

NODE	I	MAG	ANGLE	I	MAG	ANGLE	I	MAG	ANGLE	mi.to SR
		A–N		_	B-N	V	_	C–N		
800	Ι	1.0500 at	.00	Ι	1.0500 at	t -120.00	Ι	1.0500 at	120.00	.000
802	Ι	1.0475 at	05	Ι	1.0484 at	t -120.07	Ι	1.0484 at	119.95	.489
806	Ι	1.0457 at	08	Ι	1.0474 at	t -120.11	Ι	1.0474 at	119.92	.816
808	Ι	1.0136 at	75	Ι	1.0296 at	t -120.95	Ι	1.0289 at	119.30	6.920
810	Ι			Ι	1.0294 at	t -120.95	Ι			8.020
812	Ι	.9763 at	-1.57	Ι	1.0100 at	t -121.92	Ι	1.0069 at	118.59	14.023
814	Ι	.9467 at	-2.26	Ι	.9945 at	t -122.70	Ι	.9893 at	118.01	19.653
RG10	Ι	1.0177 at	-2.26	Ι	1.0255 at	t - 122.70	Ι	1.0203 at	118.01	19.654
850	Ι	1.0176 at	-2.26	Ι	1.0255 at	t - 122.70	Ι	1.0203 at	118.01	19.655
816	Ι	1.0172 at	-2.26	Ι	1.0253 at	t -1 <b>22.7</b> 1	Ι	1.0200 at	118.01	19.714
818	Ι	1.0163 at	-2.27	Ι			Ι			20.038
820	Ι	.9926 at	-2.32	Ι			Ι			29.157
822	Ι	.9895 at	-2.33	Ι			Ι			31.760

824	Ι	1.0082	at	-2.37	Ι	$1.0158 \ at \ -122.94$	Ι	1.0116 at	117.76	21.648
826	Ι				Ι	$1.0156 \ at \ -122.94$	Ι		I	22.222
828	Ι	1.0074	at	-2.38	Ι	$1.0151 \ at \ -122.95$	Ι	1.0109 at	117.75	21.807
830	Ι	.9894	at	-2.63	I	.9982 at -123.39	Ι	.9938 at	117.25	25.678
854	Ι	.9890	at	-2.64	Ι	.9978 at -123.40	Ι	.9934 at	117.24	25.777
852	Ι	.9581	at	-3.11	Ι	.9680 at -124.18	Ι	.9637 at	116.33	32.752
RG11	Ι	1.0359	at	-3.11	Ι	1.0345 at -124.18	Ι	1.0360 at	116.33	32.752
832	Ι	1.0359	at	-3.11	Ι	$1.0345 \ at \ -124.18$	Ι	1.0360 at	116.33	32.754
858	Ι	1.0336	at	-3.17	Ι	1.0322 at $-124.28$	Ι	1.0338 at	116.22	33.682
834	Ι	1.0309	at	-3.24	Ι	$1.0295 \ at \ -124.39$	Ι	1.0313 at	116.09	34.786
842	Ι	1.0309	at	-3.25	Ι	1.0294 at $-124.39$	Ι	1.0313 at	116.09	34.839
844	Ι	1.0307	at	-3.27	Ι	$1.0291 \ at \ -124.42$	Ι	1.0311 at	116.06	35.095
846	Ι	1.0309	at	-3.32	Ι	$1.0291 \ at \ -124.46$	Ι	1.0313 at	116.01	35.784
848	Ι	1.0310	at	-3.32	Ι	$1.0291 \ at \ -124.47$	Ι	1.0314 at	116.00	35.885
860	Ι	1.0305	at	-3.24	Ι	1.0291 at -124.39	Ι	1.0310 at	116.09	35.169
836	Ι	1.0303	at	-3.23	Ι	$1.0287 \ at \ -124.39$	Ι	1.0308 at	116.09	35.677
840	Ι	1.0303	at	-3.23	Ι	$1.0287 \ at \ -124.39$	Ι	1.0308 at	116.09	35.839
862	Ι	1.0303	at	-3.23	Ι	$1.0287 \ at \ -124.39$	Ι	1.0308 at	116.09	35.730
838	Ι				Ι	$1.0285 \ at \ -124.39$	Ι		I	36.650
864	Ι	1.0336	at	-3.17	Ι		Ι		I	33.989
XF10	Ι	.9997	at	-4.63	Ι	.9983 at $-125.73$	Ι	1.0000 at	114.82	32.754
888	Ι	.9996	at	-4.64	Ι	.9983 at $-125.73$	Ι	1.0000 at	114.82	32.754
890	Ι	.9167	at	-5.19	I	.9235 at -126.78	Ι	.9177 at	113.98	34.754
856	Ι				I	.9977 at -123.41	Ι		I	30.195

# A.4.3 Voltage Regulator Data

SUBSTATION: IEEE 34; FEEDER: IEEE 34 \_\_\_\_\_ [NODE] -- [VREG] ----- [SEG] ----- [NODE] MODEL OPT BNDW 814 RG10 850 850 Phase A & B & C, Wye RX 2.00 PHASE LDCTR VOLT HOLD R-VOLT X-VOLT PT RATIO CT RATE TAP 1 122.000 2.700 1.600 120.00 100.00 12 122.000 2.700 1.600 120.00 100.00 2 5 3 122.000 2.700 1.600 120.00 100.00 5 \_\_\_\_\_ [NODE] -- [VREG] ----- [SEG] ----- [NODE] MODEL OPT BNDW

852	RG11	832	832	Pha	se A & B a	& C, Wye	]	RX 2.00	
							• • • • • • • • • •		
	PHASE	LDCTR	VOLT HOLD	R-VOLT	X-VOLT	PT RATIO	CT RATE	TAP	
	1		124.000	2.500	1.500	120.00	100.00	13	
	2		124.000	2.500	1.500	120.00	100.00	11	
	3		124.000	2.500	1.500	120.00	100.00	12	

# A.4.4 Radial Power Flow

- RADIAL POWER FLOW — DATE: 6-24-2004 AT 16:34:32 HOURS — SUBSTATION: IEEE 34; FEEDER: IEEE 34

NO	DE V	ALUE							UNT O/L< 60.%
		*-							*
NODE:	800								
kVll	24.900		NO LOAD	OR CAPAC	NTOR REP	RESENIED	AT SOU	RCE NODE	
TO NO	DE 802	:	51.56	-12.74	44.57	-127.70	40.92	117.37	AMP/DG
<802	> LOSS=								
		*	A	*	Е	<b>}</b> *-	(	C	*
NODE:	802	VOLTS:	1.047	05	1.048	-120.07	1.048	119.95	MAG/ANG
		-LD:	.00	.00	.00	.00	.00	.00	kW/kVR
kVll	24.900	CAP:		.00		.00		.00	kVR
FROM 1	NODE 800	:	51.58	-12.80	44.57	-127.76	40.93	117.31	AMP/DG
<802	> LOSS=	3.472:	( 1.	637)	( .	978)	(	.858)	kW
	DE 806								
<806	> LOSS=	2.272:	( 1.	102)	( .	618)	(	.552)	kW
		*-	A	*	Е	<b>}</b> *-	(	C	*
NODE:	806	VOLTS:	1.046	08	1.047	-120.11	1.047	119.92	MAG/ANG
		-LD:	.00	.00	.00	.00	.00	.00	kW/kVR
kVll	24.900	CAP:		.00		.00		.00	kVR
FROM 1	NODE 802	:	51.59	-12.83	42.47	-126.83	39.24	118.52	AMP/DG
<806	> LOSS=	2.272:	( 1.	102)	( .	618)	(	.552)	kW
	DE 808								
	> LOSS=								
		vb	A	str	F	vtr		<u> </u>	*

NODE: 808	VOLTS:	1.014	75	1.030	-120.95	1.029	119.30	MAG/ANG
	-LD:	.00	.00	.00	.00	.00	.00	kW/kVR
kVll 24.900	CAP:		.00		.00		.00	kVR
FROM NODE 806	:	51.76	-13.47	42.46	-127.59	39.28	117.76	AMP/DG
<808 > LOSS= -	41.339:	( 20.6	77)	(10.	780)	( 9.	882)	kW
TO NODE 810 .	:			1.22	-144.62			AMP/DG
<810 > LOSS=	.002:			( .	002)			kW
TO NODE 812 .	:	51.76	-13.47	41.30	-127.10	39.28	117.76	AMP/DG
<812 > LOSS= -	47.531:	( 24.1	26)	(11.	644)	(11.	761)	kW
	*	A	*	——В	*	C	:	*
NODE: 810	VOLTS:			1.029	-120.95			MAG/ANG
	-LD:			.00	.00			kW/kVR
kVll 24.900	CAP:				.00			kVR
FROM NODE 808	:			.00	.00			AMP/DG
<810 > LOSS=	.002:			( .	002)			kW
	*	A	*	——В	*	C	:	*
NODE: 812	VOLTS:	.976	-1.57	1.010	-121.92	1.007	118.59	MAG/ANG
	-LD:	.00	.00	.00	.00	.00	.00	kW/kVR
kVll 24.900	CAP:		.00		.00		.00	kVR
FROM NODE 808	:	51.95	-14.18	41.29	-127.99	39.33	116.90	AMP/DG
<812 > LOSS= -	47.531:	( 24.1	26)	(11.	644)	(11.	761)	kW
TO NODE 814 .	:	51.95	-14.18	41.29	-127.99	39.33	116.90	AMP/DG
<814 > LOSS= 3	37.790:	( 19.2	45)	(9.	140)	( 9.4	404)	kW
	*	A	**	——В		C		*
NODE: 814	VOLTS:	.947	-2.26	.994	-122.70	.989	118.01	MAG/ANG
	-LD:	.00	.00	.00	.00	.00	.00	kW/kVR
kVll 24.900	CAP:		.00		.00		.00	kVR
FROM NODE 812	:	52.10	-14.73	41.29	-128.69	39.37	116.23	AMP/DG
<814 > LOSS= 3	37.790:	( 19.2	45)	(9.	140)	( 9.	404)	kW
TO NODE RG10 .	<vrg>.:</vrg>	52.10	-14.73	41.29	-128.69	39.37	116.23	AMP/DG
<rg10> LOSS=</rg10>	.000:	( .0	00)	( .	000)	( .(	000)	kW
	*	A	*	——В		C	:	*
NODE: RG10	VOLTS:	1.018	-2.26	1.026	-122.70	1.020	118.01	MAG/ANG
	-LD:	.00	.00	.00	.00	.00	.00	kW/kVR
kVll 24.900	CAP:		.00		.00		.00	kVR
FROM NODE 814	<vrg>:</vrg>	48.47	-14.73	40.04	-128.69	38.17	116.23	AMP/DG

<rg10> LOSS= .0</rg10>	000: (	.000)	( .000)	( .000)	kW
TO NODE 850	: 48.4	7 -14.73	40.04 -128.69	38.17 116.23	AMP/DG
<850 > LOSS= .0	)17: (	.008)	( .005)	( .005)	kW
	*		B*	C	k
NODE: 850 VOI	LTS: 1.01	8 -2.26	1.026 -122.70	1.020 118.01	MAG/ANG
-	-LD: .0	00.00	.00 .00	.00 .00	kW/kVR
kVll 24.900 0	CAP:	.00	.00	.00	kVR
FROM NODE RG10	: 48.4	7 -14.73	40.04 -128.69	38.17 116.23	AMP/DG
<850 > LOSS= .0	)17: (	.008)	( .005)	( .005)	kW
TO NODE 816	: 48.4	7 -14.73	40.04 -128.69	38.17 116.23	AMP/DG
<816 > LOSS= .5	538: (	.254)	(.145)	(.139)	kW
	*		B*	C;	k
NODE: 816 VOI	LTS: 1.01	7 - 2.26	1.025 -122.71	1.020 118.01	MAG/ANG
			.00 .00		
kVll 24.900 0		.00	.00		kVR
FROM NODE 850	: 48.4	7 -14.74	40.04 -128.70	38.17 116.23	AMP/DG
			( .145)		
TO NODE 818	-	-26.69	(,		AMP/DG
<818 > LOSS= .1		.154)			kW
TO NODE 824	-		40.04 -128.70	38.17 116.23	
<824 > LOSS= 14.1					
			B*		
	LTS: 1.01			-	MAG/ANG
	-LD: .0	00.00			kW/kVR
kVll 24.900 0		.00			kVR
FROM NODE 816	13.0	-26.77			AMP/DG
<818 > LOSS= .1					kW
TO NODE 820					AMP/DG
<820 > LOSS= 3.6					kW
			B	C;	k
NODE: 820 VOI	LTS: .99		5	0	MAG/ANG
	-LD: .0				kW/kVR
kVll 24.900 0		.00			kVR
AVII 27.300 C	ли.	.00			IXVIX
FROM NODE 818	. 10.6	2 _28.08			AMP/DG
<pre>&lt;820 &gt; LOSS= 3.6</pre>					kW
	-				
TO NODE 822					AMP/DG
<822 > LOSS= .4	13: (	.413)			kW

	*-	A-	*	В	*(	<u></u>	*
NODE: 822	VOLTS:	.990	-2.33				MAG/ANG
	-LD:	.00	.00				kW/kVR
kVll 24.900	CAP:		.00				kVR
FROM NODE 820	:	.00	.00				AMP/DG
<822 > LOSS=	.413:	( .4	13)				kW
	*-	A	*	В	*(	C	*
NODE: 824	VOLTS:	1.008	-2.37	1.016 -122.9	94 1.012	117.76	MAG/ANG
	-LD:	.00	.00	.00 .0	.00	.00	kW/kVR
kVll 24.900	CAP:		.00	.0	00	.00	kVR
FROM NODE 816	:	35.87	-10.70	39.82 -129.0	02 38.05	116.25	AMP/DG
<824 > LOSS=	14.181:	( 4.3	312)	( 5.444)	( 4	.425)	kW
TO NODE 826	:			3.10 -148.9	92		AMP/DG
<826 > LOSS=	.008:			( .008)			kW
TO NODE 828	:	35.87	-10.70	36.93 -127.3	39 38.05	116.25	AMP/DG
<828 > LOSS=	1.108:	( .3	361)	( .393)	(	.354)	kW
	*-	A-	*	B	*(	C	*
NODE: 826	VOLTS:			1.016 - 122.9	94		MAG/ANG
	-LD:			.00 .0	00		kW/kVR
kVll 24.900	CAP:			.0	00		kVR
FROM NODE 824	:			.00 .0	00		AMP/DG
<826 > LOSS=	.008:			( .008)			kW
	*-	A-	*	В	*(	C	*
NODE: 828	VOLTS:	1.007	-2.38	1.015 - 122.9	95 1.011	117.75	MAG/ANG
	-LD:	.00	.00	.00 .0	.00	.00	kW/kVR
kVll 24.900	CAP:		.00	.0	00	.00	kVR
FROM NODE 824	:	35.87	-10.72	36.93 -127.4	41 37.77	116.42	AMP/DG
<828 > LOSS=	1.108:	( .3	361)	( .393)	( .	.354)	kW
TO NODE 830	:	35.87	-10.72	36.93 -127.4	41 37.77	116.42	AMP/DG
<830 > LOSS=	26.587:	( 8.4	143)	( 9.214)	(8	.930)	kW
	*	A-	*	B	*(	C	*
NODE: 830	VOLTS:	.989	-2.63	.998 -123.3	.994	117.25	MAG/ANG
	D-LD:	9.95	4.98	9.86 4.9	93 24.55	9.82	kW/kVR
kVll 24.900	Y CAP:		.00	.(	00	.00	kVR
FROM NODE 828	:	35.43	-11.06	36.91 -127.9	92 37.79	115.96	AMP/DG
<830 > LOSS=	26.587:	( 8.4	143)	( 9.214)	( 8	.930)	kW

TO NODE 854:	34.22 - 9.97	36.19 -127.47	36.49 116.26	AMP/DG
<854 > LOSS= .635:	( .197)	( .227)	( .211)	kW
***		B*	C	*
NODE: 854 VOLTS:	.989 - 2.64	.998 -123.40	.993 117.24	MAG/ANG
-LD:	.00 .00	.00 .00	.00 .00	kW/kVR
kV11 24.900 CAP:	.00	.00	.00	kVR
FROM NODE 830:	34.23 -9.99	36.19 -127.48	36.49 116.25	AMP/DG
<854 > LOSS= .635:	( .197)	( .227)	( .211)	kW
TO NODE 852:	34.23 -9.99	$35.93 \ -127.72$	36.49 116.25	AMP/DG
<852 > LOSS= 44.798:	(13.996)	(15.778)	( 15.023)	kW
TO NODE 856:		.31 –98.70		AMP/DG
<856 > LOSS= .001:		( .001)		kW
******	A*	B*-	C	*
NODE: 852 VOLTS:	.958 –3.11	$.968 \ -124.18$	.964 116.33	MAG/ANG
-LD:	.00 .00	.00 .00	.00 .00	kW/kVR
kV11 24.900 CAP:	.00	.00	.00	kVR
FROM NODE 854:	34.35 -11.00	$35.90 \ -128.66$	36.52 115.41	AMP/DG
<852 > LOSS= 44.798:	( 13.996)	( 15.778)	( 15.023)	kW
TO NODE RG11 . <vrg>.:</vrg>	34.35 -11.00	$35.90 \ -128.66$	36.52 115.41	AMP/DG
<rg11> LOSS= .000:</rg11>	( .000)	( .000)	( .000)	kW
*		B*-	C;	*
NODE: RG11 VOLTS:	1.036 -3.11	$1.035\ -124.18$	1.036 116.33	MAG/ANG
-LD:	.00 .00	.00 .00	.00 .00	kW/kVR
kV11 24.900 CAP:	.00	.00	.00	kVR
FROM NODE 852 <vrg>:</vrg>	31.77 -11.00	$33.59 \ -128.66$	33.98 115.41	AMP/DG
<rg11> LOSS= .000:</rg11>	( .000)	( .000)	( .000)	kW
TO NODE 832:	31.77 -11.00	$33.59 \ -128.66$	33.98 115.41	AMP/DG
<832 > LOSS= .011:	( .003)	( .004)	( .004)	kW
*	A*	B*-	C	*
NODE: 832 VOLTS:	1.036 -3.11	$1.035 \ -124.18$	1.036 116.33	MAG/ANG
-LD:	.00 .00		.00 .00	kW/kVR
kV11 24.900 CAP:	.00	.00	.00	kVR
FROM NODE RG11:				
<832 > LOSS= .011:				
TO NODE 858:				
<858 > LOSS= 2.467:	( .643)	( .997)	( .827)	kW
TO NODE XF10:	11.68 - 32.29	11.70 -152.73	11.61 87.39	AMP/DG <

< XF10 > LOSS =	9.625	( 3.196)	(3241)	( 3 187)	kW
		A*-	. ,	. ,	
		1.034 -3.17			
NODE. 838					
		.00 .00			kW/kVR
kVll 24.900	CAP:	.00	.00	.00	kVR
		20.86 .86			
<858 > LOSS=	2.467:	( .643)	( .997)	( .827)	kW
TO NODE 834 .	:	20.73 1.01	23.13 -116.39	24.02 128.48	AMP/DG
<834 > LOSS=	2.798:	( .717)	( 1.145)	( .936)	kW
TO NODE 864 .	:	.14 - 22.82			AMP/DG
<864 > LOSS=	.000:	( .000)			kW
	*	A*-	B*	C	*
NODE: 834	VOLTS:	1.031 - 3.24	1.029 -124.39	1.031 116.09	MAG/ANG
	-LD:	.00 .00	.00 .00	.00 .00	kW/kVR
kVll 24.900	CAP:	.00	.00	.00	kVR
FROM NODE 858	:	20.29 2.18	22.37 -116.07	23.23 130.06	AMP/DG
		( .717)			
		14.75 34.68			
		( .015)			
		11.16 - 43.05			
<860 > LOSS=		( .021)			
		A*-			
NODE: 842		1.031 - 3.25			-
	–LD:	.00 .00			kW/kVR
kVll 24.900	CAP:	.00	.00	.00	kVR
		14.74 34.67			
<842 > LOSS=	.064:	( .015)	( .032)	( .017)	kW
TO NODE 844 .	:	14.74 34.67	16.30 -95.64	15.12 151.03	AMP/DG
<844 > LOSS=	.306:	( .068)	( .156)	( .083)	kW
	*	A*	B*	C	*
NODE: 844	VOLTS:	1.031 -3.27	$1.029 \ -124.42$	1.031 116.06	MAG/ANG
	Y-LD:	143.41 111.54	142.97 111.20	143.51 111.62	kW/kVR
kVll 24.900	Y CAP:	106.23	105.90	106.31	kVR
FROM NODE 842	:	14.47 37.12	16.29 –95.71	15.11 150.97	AMP/DG
		( .068)			
		9.83 78.88			
<040 > LUSS=	.323:	( .043)	( .212)	( .008)	KVV

	*-	A	*-	В	}*-	(	;;	*
NODE: 846	VOLTS:	1.031 –	3.32	1.029	-124.46	1.031	116.01	MAG/ANG
	-LD:	.00	.00	.00	.00	.00	.00	kW/kVR
kVll 24.900			.00		.00			kVR
KVII 24.900	CAF.		.00		.00		.00	KVK
FROM NODE 844	:	9.76 7	8.80	9.40	-52.54	9.78	-161.93	AMP/DG
<846 > LOSS=	.323:	( .043	)	( .:	212)	( .	068)	kW
TO NODE 848	:	9.76 7	8.80	9.40	-52.54	9.78	-161.93	AMP/DG
<848 > LOSS=	.048:	( .007	)	( .	031)	( .	010)	kW
	*	A	*-	В	<b>}</b> *-	(		*
NODE: 848	VOLTS	1.031 –	3 32	1 029	-124 47	1.031	116.00	MAG/ANG
NODE: 010								
	D-LD:						16.00	
kVll 24.900	Y CAP:	15	9.43		158.86		159.56	kVR
FROM NODE 846	:	9.76 7	8.79	9.77	-42.47	9.78	-161.94	AMP/DG
<848 > LOSS=	.048:	( .007	)	( .	031)	( .	010)	kW
	*-	A	*-	В	<b>}</b> *-	(		*
NODE: 860	VOLTS:	1.030 –	3.24	1.029	-124.39	1.031	116.09	MAG/ANG
		20.00 1						
1-1/11 04 000								
kVll 24.900	I CAP:		.00		.00		.00	KVK
FROM NODE 834	:	5.87 - 3	3.62	7.68	-156.52	5.29	86.10	AMP/DG
<860 > LOSS=	.141:	( .021	)	( .	104)	( .	017)	kW
TO NODE 836	:	4.16 -3	0.19	5.96	-154.63	3.60	90.25	AMP/DG
<836 > LOSS=	.039:	(035	5)	( .	103)	( –	.028)	kW
<u> </u>	*	A	*-	В	}*-	(	;;	*
NODE: 836	VOLTS:	1.030 –	3.23	1.029	-124.39	1.031	116.09	MAG/ANG
							.00	
kVll 24.900	CAP:		.00		.00		.00	KVR
FROM NODE 860	:	1.49 - 1	9.83	4.42	-150.74	1.74	68.08	AMP/DG
<836 > LOSS=	.039:	(035	5)	( .	103)	( –	.028)	kW
TO NODE 840	:	1.50 - 2	0.01	2.33	-151.97	1.75	68.00	AMP/DG
<840 > LOSS=	.002:	(014	.)	( .	026)	( –	.010)	kW
TO NODE 862								
<862 > LOSS=								
NODE: 840								
	Y-LD:	9.27			7.20	9.28	7.22	kW/kVR
kVll 24.900	Y CAP:		.00		.00		.00	kVR

EDOM NODE 990		70 41 11	70 100 00	70 79.91	
FROM NODE 836		.79 –41.11			
<840 > LOSS=	.002:	(014)			kW
	*			C	*
NODE: 862	VOLTS:	1.030 -3.23	$1.029 \ -124.39$	1.031 116.09	MAG/ANG
	-LD:	.0000	.00 .00	.00 .00	kW/kVR
kVll 24.900	CAP:	.00	.00	.00	kVR
FROM NODE 836		.00 .00	2.09 - 149.50	.00 .00	AMP/DG
<862 > LOSS=		(005)	( .009)	(004)	kW
		( .000)	2.09 - 149.50	. ,	AMP/DG
					-
<838 > LOSS=	.004:		( .004)		kW
	*	A*-		C	*
NODE: 838	VOLTS:		$1.029 \ -124.39$		MAG/ANG
	-LD:		.00 .00		kW/kVR
kVll 24.900	CAP:		.00		kVR
FROM NODE 862	:		.00 .00		AMP/DG
<838 > LOSS=	.004:		( .004)		kW
	*	A*		C	*
NODE: 864	VOLTS:		2	C	MAG/ANG
NODE. 004					-
	-LD:	.00 .00			kW/kVR
kVll 24.900	CAP:	.00			kVR
FROM NODE 858	:	.00 .00			AMP/DG
<864 > LOSS=	.000:	( .000)			kW
	*	A*-	B*-	C	*
NODE: XF10	VOLTS:	1.000 - 4.63	$.998 \ -125.73$	1.000 114.82	MAG/ANG
	-LD:	.00 .00	.00 .00	.00 .00	kW/kVR
kVll 4.160	CAP:	.00	.00	.00	kVR
FROM NODE 832	:	69.90 - 32.29	70.04 -152.73	69.50 87.39	AMP/DG <
		( 3.196)			
		69.90 -32.29			
<888 > LUSS=		( .000)			
		A******			
NODE: 888		1.000 - 4.64			
	-LD:	.0000	.00 .00	.00 .00	kW/kVR
kVll 4.160	CAP:	.00	.00	.00	kVR
FROM NODE XF10	:	69.90  -32.29	70.04 -152.73	69.50 87.39	AMP/DG
		( .000)			
		(	(	(	

TO NO	DE 890	:	69.90	-32.29	70.04	-152.73	69.50	87.39	AMP/DG
<890	> LOSS=	32.760:	( 11.	638)	( 9.9	950)	( 11.	173)	kW
		*	A	<b>.</b> *	——В	*	C		*
NODE:	890	VOLTS:	.917	-5.19	.924	-126.78	.918	113.98	MAG/ANG
		D-LD:	139.11	69.55	137.56	68.78	137.01	68.50	kW/kVR
kVll	4.160	Y CAP:		.00		.00		.00	kVR
FROM 1	NODE 888	:	69.91	-32.31	70.05	-152.75	69.51	87.37	AMP/DG
<890	> LOSS=	32.760:	( 11.	638)	( 9.9	950)	( 11.	173)	kW
		***	A	*	В	*	C		*
NODE:	856	VOLTS:			.998	-123.41			MAG/ANG
		-LD:			.00	.00			kW/kVR
kVll	24.900	CAP:				.00			kVR
FROM 1	NODE 854	:			.00	.00			AMP/DG

## Appendix B: PMU Data Generating Code

## **B.1** Generate scenarios

Because the model was built on MATLAB, the PMU data generating coding part was also wrote on MATLAB. The shown code is for 2019b version, and annotated some codes for other versions that have be to changed.

```
model = 'IEEE_34_node_2019b_scenarios';
load_system(model);
power_34NodeTestFeeder_loads_init
power_34NodeTestFeeder_init
S1 = [0, 0, 0, 0, 0];
S2 = [1, 0, 0, 0, 0];
S3 = [1, 1, 0, 0, 0];
S4 = [1, 0, 1, 0, 0];
S5 = [1, 0, 1, 0, 1];
S6 = [1, 0, 1, 1, 0];
S7 = [1, 0, 1, 1, 1];
S8 = [1, 1, 1, 0, 0];
S9 = [1, 1, 1, 0, 1];
S10 = [1, 1, 1, 1, 0];
S11 = [1, 1, 1, 1, 1];
Load1 = [Pa_{824}, Pp_{824}, Pp_{824}, Pn_{824}, 828];
Load2 = [Pa_820_822, Pp_820_822, Pn_820_822];
Load3 = [Pa_858_834, Pp_858_834, Pn_858_834];
Load4 = [Pa_844, Pp_844, Pn_844];
Load5 = [Pa_840, Pp_840, Pn_840];
L1 = Load1;
L2 = Load2;
L3 = Load3;
L4 = Load4;
```

```
L5 = Load5;
```

```
% 3) Generate loads data for 9 scenarios=========
current_path=pwd; % read path
T=datestr(now, 'mmm-dd-yyyy-HH_MM'); % read current time
% For Scenario 1 (Topology 3)
SW=S3;
m=3:
n=1:
folder_name = [ 'Topology ', num2str(m) ];
Dirocry = [current_path, '\DataFolder\', 'DataGeneratedAt—',T, '\', folder_name];
mkdir(Dirocry);
for a = linspace(-0.05, 0.05, 40)
    for b=linspace(-0.05, 0.05, 40)
        Load = [L1 L2 L3 L4 L5];
        Load=[(1+a)*Load(1:9) (1+b)*Load(10:12) Load(13:end)];
        save_data(Load,SW,n,Dirocry);
        n=n+1;
    end
end
m = m + 1;
% For Scenario 2 (Topology 4)
SW=S4;
n=1;
folder_name = [ 'Topology ', num2str(m) ];
Dirocry = [current_path, '\DataFolder\', 'DataGeneratedAt—',T, '\',folder_name];
mkdir(Dirocry);
for a = linspace(-0.05, 0.05, 13)
    for b=linspace(-0.05,0.05,13)
        for c=linspace(-0.05,0.05,13)
            Load = [L1 L2 L3 L4 L5];
            Load=[(1+a)*Load(1:9) Load(10:12) (1+b)*Load(13:21) Load(22:30) (1+c)*Load(31:
                end)];
            save_data(Load,SW,n,Dirocry);
            n=n+1;
        end
    end
end
m = m + 1;
```

```
% For Scenario 3 (Topology 5)
SW=S5;
n=1;
folder_name = [ 'Topology', num2str(m)];
Dirocry = [current_path, '\DataFolder\', 'DataGeneratedAt—',T, '\',folder_name];
mkdir(Dirocry);
for a=linspace(-0.05,0.05,13)
    for b=linspace(-0.05,0.05,13)
        for c=linspace(-0.05,0.05,13)
            Load = [L1 L2 L3 L4 L5];
            Load=[(1+a)*Load(1:9) Load(10:12) (1+b)*Load(13:21) Load(22:30) (1+c)*Load(31:
                end)];
            save_data(Load,SW,n,Dirocry);
            n=n+1;
        end
    end
end
m=m+1;
% For Scenario 4 (Topology 6)
SW=S6;
n=1:
folder_name = [ 'Topology', num2str(m)];
Dirocry = [current_path, '\DataFolder\', 'DataGeneratedAt—',T, '\',folder_name];
mkdir(Dirocry);
for a=linspace(-0.05,0.05,7)
    for b=linspace(-0.05,0.05,7)
        for c=linspace(-0.05,0.05,7)
            for d=linspace(-0.05, 0.05, 7)
                Load = [L1 L2 L3 L4 L5];
                Load=[(1+a)*Load(1:9) Load(10:12) (1+b)*Load(13:21) (1+c)*Load(22:30) (1+d
                     )*Load(31:end)];
                save_data(Load,SW,n,Dirocry);
                n=n+1;
            end
        end
    end
end
m=m+1;
```

```
% For Scenario 5 (Topology 7)
SW=S7;
n=1;
folder_name = ['Topology', num2str(m)];
Dirocry = [current_path, '\DataFolder\', 'DataGeneratedAt—',T, '\', folder_name];
mkdir(Dirocry);
for a = linspace(-0.05, 0.05, 7)
    for b=linspace(-0.05,0.05,7)
        for c=linspace(-0.05,0.05,7)
             for d=linspace(-0.05,0.05,7)
                Load = [L1 L2 L3 L4 L5];
                Load=[(1+a)*Load(1:9) Load(10:12) (1+b)*Load(13:21) (1+c)*Load(22:30) (1+d
                     )*Load(31:end)];
                 save_data(Load,SW,n,Dirocry);
                n=n+1;
            end
        end
    end
end
m=m+1;
% For Scenario 6 (Topology 8)
SW=S8:
n=1;
folder_name = [ 'Topology ', num2str(m) ];
Dirocry = [current_path, '\DataFolder\', 'DataGeneratedAt—',T, '\',folder_name];
mkdir(Dirocry);
for a = linspace(-0.05, 0.05, 7)
    for b=linspace(-0.05,0.05,7)
        for c=linspace(-0.05, 0.05, 7)
             for d=linspace(-0.05, 0.05, 7)
                Load = [L1 L2 L3 L4 L5];
                Load=[(1+a)*Load(1:9) (1+b)*Load(10:12) (1+c)*Load(13:21) Load(22:30) (1+d
                     )*Load(31:end)];
                save_data(Load,SW,n,Dirocry);
                n=n+1:
            end
        end
    end
end
m = m + 1;
```

```
% For Scenario 7 (Topology 9)
SW=S9;
n=1;
folder_name = ['Topology', num2str(m)];
Dirocry = [current_path, '\DataFolder\', 'DataGeneratedAt—',T, '\',folder_name];
mkdir(Dirocry);
for a=linspace(-0.05,0.05,7)
    for b=linspace(-0.05,0.05,7)
        for c=linspace(-0.05,0.05,7)
            for d=linspace(-0.05, 0.05, 7)
                Load = [L1 L2 L3 L4 L5];
                Load=[(1+a)*Load(1:9) (1+b)*Load(10:12) (1+c)*Load(13:21) Load(22:30) (1+d
                     )*Load(31:end)];
                 save_data(Load,SW,n,Dirocry);
                n=n+1;
            end
        end
    end
end
m=m+1;
% For Scenario 8 (Topology 10)
SW=S10;
n=1;
folder_name = [ 'Topology', num2str(m)];
Dirocry = [current_path, '\DataFolder\', 'DataGeneratedAt—',T, '\', folder_name];
mkdir(Dirocry);
for a = linspace(-0.05, 0.05, 5)
    for b=linspace(-0.05,0.05,5)
        for c=linspace(-0.05, 0.05, 5)
            for d=linspace(-0.05,0.05,5)
                 for e=linspace(-0.05,0.05,5)
                    Load = [L1 L2 L3 L4 L5];
                    Load=[(1+a)*Load(1:9) (1+b)*Load(10:12) (1+c)*Load(13:21) (1+d)*Load
                         (22:30) (1+e)*Load(31:end)];
                     save_data(Load,SW,n,Dirocry);
                    n=n+1;
                end
            end
        end
```

```
96
```

```
end
end
m=m+1;
% For Scenario 9 (Topology 11)
SW=S11;
n=1;
folder_name = [ 'Topology ', num2str(m) ];
Dirocry = [current_path, '\DataFolder\', 'DataGeneratedAt—',T, '\',folder_name];
mkdir(Dirocry);
for a = linspace(-0.05, 0.05, 5)
    for b=linspace(-0.05,0.05,5)
        for c=linspace(-0.05,0.05,5)
             for d=linspace(-0.05,0.05,5)
                 for e=linspace(-0.05, 0.05, 5)
                     Load = [L1 L2 L3 L4 L5];
                     Load=[(1+a)*Load(1:9) (1+b)*Load(10:12) (1+c)*Load(13:21) (1+d)*Load
                         (22:30) (1+e)*Load(31:end)];
                     save_data(Load,SW,n,Dirocry);
                     n=n+1;
                end
            end
        end
    end
end
```

# The "save\_data" function is written as:

```
function save_data(Load,SW,n,Dirocry)
load1=Load(1,1:9);
load2=Load(1,10:12);
load3=Load(1,13:21);
load4=Load(1,22:30);
load5=Load(1,31:39);
result=table(SW,load1,load2,load3,load4,load5);
file_name = [Dirocry, '\',num2str(n), '.csv'];
writetable(result,file_name);
end
```

### **B.2 Generate PMU Data**

Since the data corresponding to different percentages of loads have been generated under 9 topologies with different switch status scenarios, the PMU data could be generated with the MATLAB Simulink toolbox. Here, we used an approach which is a parallel simulation, that could save 80% time for running the entire process.

It should be noted that some functions would be affected by different versions of MATLAB, such as "readmatrix" and "writematrix" function could only be used in the newer versions of MATLAB 2019a; for previous versions, they should be replaced by "csvread" (or "dlmread") and "csvwrite" if the data need to be read from .csv file and save in .csv file. In addition, in MATLAB 2020a and Linux MATLAB, the "parsim" calculation should be defined detailed into each core with "parfevalOnAll" function.

```
simu_number=5; % Set the number for one time parallel simulation
folder_name=[current_path, '\DataFolder\'];
for topology=3:11
    list=dir([folder_name,T, '\Topology',num2str(topology), '\', '*.csv']);
   len=length(list);
   Dirocry = [folder_name, 'PMUresults', '\Topology', num2str(topology), '\'];
   mkdir(Dirocry);
   counter=1;
   num=1;
   for loop=1:(len/simu_number) % 1st running
       clear simIn
       for idx = 1:simu_number
           filename=[folder_name,T, '\Topology', num2str(topology), '\', num2str(counter), '.
               csv'];
                                                      % After 2019a
           SW=readmatrix(filename, 'Range', 'A2:E2');
           load=readmatrix(filename, 'Range', 'F2:AR2');
           % SW=csvread (filename, 1, 0, ['A2..E2']); % dlmread
```

% load=csvread(filename,1,0,['F2..AR2']);

% load=load(2,2:40);

#### % Switch

 $SW850_816 = SW(1,1);$   $SW818_820 = SW(1,2);$   $SW832_858 = SW(1,3);$   $SW834_842 = SW(1,4);$  $SW836_862 = SW(1,5);$ 

### % Load 1

 $\begin{aligned} &Pa_824_828 = table2array(table([load(1,1) load(1,2) load(1,3)])); \\ &Pp_824_828 = table2array(table([load(1,4) load(1,5) load(1,6)])); \\ &Pn_824_828 = table2array(table([load(1,7) load(1,8) load(1,9)])); \end{aligned}$ 

#### % Load $\,2$

Pa\_820\_822 = table2array(table([load(1,10)]));
Pp\_820\_822 = table2array(table([load(1,11)]));
Pn\_820\_822 = table2array(table([load(1,12)]));

### % Load 3

 $\begin{aligned} &Pa_858_834 = table2array(table([load(1,13) load(1,14) load(1,15)])); \\ &Pp_858_834 = table2array(table([load(1,16) load(1,17) load(1,18)])); \\ &Pn_858_834 = table2array(table([load(1,19) load(1,20) load(1,21)])); \end{aligned}$ 

#### % Load 4

```
Pa_844 = table2array(table([load(1,22) load(1,23) load(1,24)]));
Pp_844 = table2array(table([load(1,25) load(1,26) load(1,27)]));
Pn_844 = table2array(table([load(1,28) load(1,29) load(1,30)]));
```

#### % Load 5

Pa\_840 = table2array(table([load(1,31) load(1,32) load(1,33)])); Pp\_840 = table2array(table([load(1,34) load(1,35) load(1,36)])); Pn\_840 = table2array(table([load(1,37) load(1,38) load(1,39)]));

#### % load(idx)

```
simIn(idx) = Simulink.SimulationInput(model);
simIn(idx) = simIn(idx).setVariable('SimulationMode', 'Accelerator');
simIn(idx) = simIn(idx).setVariable('SW850_816',SW850_816);
```

```
simIn(idx) = simIn(idx).setVariable('SW818_820',SW818_820);
```

```
simIn(idx) = simIn(idx).setVariable('SW832_858',SW832_858);
    simIn(idx) = simIn(idx).setVariable('SW834_842',SW834_842);
    simIn(idx) = simIn(idx) \cdot setVariable('SW836_862', SW836_862);
    simIn(idx) = simIn(idx).setVariable('Pa_824_828',Pa_824_828);
    simIn(idx) = simIn(idx).setVariable('Pp_824_828',Pp_824_828);
    simIn(idx) = simIn(idx).setVariable('Pn_824_828',Pn_824_828);
    simIn(idx) = simIn(idx).setVariable('Pa_820_822', Pa_820_822);
    simIn(idx) = simIn(idx).setVariable('Pp 820 822', Pp 820 822);
    simIn(idx) = simIn(idx) \cdot setVariable('Pn 820 822', Pn 820 822);
    simIn(idx) = simIn(idx).setVariable('Pa_858_834', Pa_858_834);
    simIn(idx) = simIn(idx).setVariable('Pp_858_834', Pp_858_834);
    simIn(idx) = simIn(idx) \cdot setVariable('Pn_858_834', Pn_858_834);
    simIn(idx) = simIn(idx).setVariable('Pa 844', Pa 844);
    simIn(idx) = simIn(idx).setVariable('Pp_844',Pp_844);
    simIn(idx) = simIn(idx).setVariable('Pn_844',Pn_844);
    simIn(idx) = simIn(idx).setVariable('Pa_840',Pa_840);
    simIn(idx) = simIn(idx).setVariable('Pp_840',Pp_840);
    simIn(idx) = simIn(idx).setVariable('Pn_840',Pn_840);
    counter=counter+1;
end
simOut = parsim(simIn, 'ShowSimulationManager', 'off');
for round=1:simu_number
   PMU802=[simOut(round).logsout{34}.Values.Data(1,:) simOut(round).logsout{1}.
        Values.Data(1,:)]; %1
   PMU806=[simOut(round).logsout{35}.Values.Data(1,:) simOut(round).logsout{2}.
        Values.Data(1,:)]; %2
   PMU808=[simOut(round).logsout{36}.Values.Data(1,:) simOut(round).logsout{3}.
        Values.Data(1,:)]; %3
   PMU810=[simOut(round).logsout{37}.Values.Data(1,:) simOut(round).logsout{4}.
        Values.Data(1,:)]; %4
   PMU812=[simOut(round).logsout{38}.Values.Data(1,:) simOut(round).logsout{5}.
        Values.Data(1,:)]; %5
```

PMU814=[simOut(round).logsout{39}.Values.Data(1,:) simOut(round).logsout{6}.

Values.Data(1,:)]; %6

- PMU850=[simOut(round).logsout{57}.Values.Data(1,:) simOut(round).logsout{24}.
  Values.Data(1,:)]; %7
- PMU816=[simOut(round).logsout{40}.Values.Data(1,:) simOut(round).logsout{7}.
  Values.Data(1,:)]; %8
- PMU818=[simOut(round).logsout{41}.Values.Data(1,:) simOut(round).logsout{8}.
  Values.Data(1,:)]; %9
- PMU820=[simOut(round).logsout{42}.Values.Data(1,:) simOut(round).logsout{9}.
  Values.Data(1,:)]; %10
- PMU822=[simOut(round).logsout{43}.Values.Data(1,:) simOut(round).logsout{10}.
  Values.Data(1,:)]; %11
- PMU824=[simOut(round).logsout{44}.Values.Data(1,:) simOut(round).logsout{11}.
  Values.Data(1,:)]; %12
- $\label{eq:pmus26=[simOut(round).logsout{45}.Values.Data(1,:) simOut(round).logsout{12}. Values.Data(1,:)]; %13$
- PMU828=[simOut(round).logsout{46}.Values.Data(1,:) simOut(round).logsout{13}.
  Values.Data(1,:)]; %14
- PMU830=[simOut(round).logsout{47}.Values.Data(1,:) simOut(round).logsout{14}.
  Values.Data(1,:)]; %15
- PMU854=[simOut(round).logsout{59}.Values.Data(1,:) simOut(round).logsout{26}.
  Values.Data(1,:)]; %16
- PMU856=[simOut(round).logsout{60}.Values.Data(1,:) simOut(round).logsout{27}.
  Values.Data(1,:)]; %17
- PMU852=[simOut(round).logsout{58}.Values.Data(1,:) simOut(round).logsout{25}.
  Values.Data(1,:)]; %18
- PMU832=[simOut(round).logsout{48}.Values.Data(1,:) simOut(round).logsout{15}.
  Values.Data(1,:)]; %19
- PMU888=[simOut(round).logsout{65}.Values.Data(1,:) simOut(round).logsout{32}.
  Values.Data(1,:)]; %20
- PMU890=[simOut(round).logsout{66}.Values.Data(1,:) simOut(round).logsout{33}.
  Values.Data(1,:)]; %21
- PMU858=[simOut(round).logsout{61}.Values.Data(1,:) simOut(round).logsout{28}.
  Values.Data(1,:)]; %22
- PMU864=[simOut(round).logsout{64}.Values.Data(1,:) simOut(round).logsout{31}.
  Values.Data(1,:)]; %23
- PMU834=[simOut(round).logsout{49}.Values.Data(1,:) simOut(round).logsout{16}.
  Values.Data(1,:)]; %24
- PMU842=[simOut(round).logsout{53}.Values.Data(1,:) simOut(round).logsout{20}.
  Values.Data(1,:)]; %25
- PMU844=[simOut(round).logsout{54}.Values.Data(1,:) simOut(round).logsout{21}.
  Values.Data(1,:)]; %26

- PMU846=[simOut(round).logsout{55}.Values.Data(1,:) simOut(round).logsout{22}.
  Values.Data(1,:)]; %27
- PMU848=[simOut(round).logsout{56}.Values.Data(1,:) simOut(round).logsout{23}.
  Values.Data(1,:)]; %28
- PMU860=[simOut(round).logsout{62}.Values.Data(1,:) simOut(round).logsout{29}.
  Values.Data(1,:)]; %29
- PMU836=[simOut(round).logsout{50}.Values.Data(1,:) simOut(round).logsout{17}.
  Values.Data(1,:)]; %30
- PMU840=[simOut(round).logsout{52}.Values.Data(1,:) simOut(round).logsout{19}.
  Values.Data(1,:)]; %31
- PMU862=[simOut(round).logsout{63}.Values.Data(1,:) simOut(round).logsout{30}.
  Values.Data(1,:)]; %32
- PMU838=[simOut(round).logsout{51}.Values.Data(1,:) simOut(round).logsout{18}.
  Values.Data(1,:)]; %33
- PMU=[PMU802;PMU806;PMU808;PMU810;PMU812;PMU814;PMU850;PMU816;PMU816;PMU820; PMU822;PMU824;PMU826;PMU828;PMU830;PMU854;PMU856;PMU852;PMU832;PMU888; PMU890;PMU858;PMU864;PMU834;PMU842;PMU844;PMU846;PMU848;PMU860;PMU836; PMU840;PMU862;PMU838];

```
file_name = [Dirocry, '\',num2str(num), '.csv'];
writematrix(PMU, file_name); % After 2019a
%csvwrite(file_name,PMU);
num=num+1;
```

#### end

#### end

```
if rem(len,simu_number)~=0
```

% if the remainder is not zero, run a 2nd time

clear simIn

for idx=1:(len-counter+1) % 2nd running

SW=readmatrix(filename, 'Range', 'A2:E2');

```
load=readmatrix(filename, 'Range', 'F2:AR2');
```

%SW=csvread(filename, 1, 0, ['A2..E2']); %dlmread

%load=csvread(filename,1,0,['F2..AR2']);

%load=load(2,2:40);

### % switch

```
SW850_816 = SW(1,1);

SW818_820 = SW(1,2);

SW832_858 = SW(1,3);
```

```
SW834_842 = SW(1,4);
SW836_862 = SW(1,5);
```

#### % Load 1

Pa_824_828 = table2array(table([	load(1,1) load(1,2)	<pre>load(1,3)]);</pre>
$Pp_{824} = table_{array}(table([$	load(1,4) load(1,5)	<pre>load(1,6)]);</pre>
Pn_824_828 = table2array(table([	load(1,7) load(1,8)	<pre>load(1,9)]);</pre>

#### % Load 2

```
Pa_820_822 = table2array(table([load(1,10)]));
Pp_820_822 = table2array(table([load(1,11)]));
Pn_820_822 = table2array(table([load(1,12)]));
```

#### % Load 3

$Pa_858_834 = table2array(table([load(1,13)))$	load(1,14)	<pre>load(1,15)]));</pre>
$Pp_{858}34 = table_{array(table([load(1,16)])}$	<pre>load(1,17)</pre>	<pre>load(1,18)]));</pre>
$Pn_{858} = table_{array}(table([load(1,19)])$	load(1,20)	<pre>load(1,21)]));</pre>

#### % Load 4

Pa_844 =	table2array(table([load(1,22)	load(1,23)	<pre>load(1,24)]));</pre>
Pp_844 =	table2array(table([load(1,25)	load(1,26)	<pre>load(1,27)]));</pre>
Pn_844 =	table2array(table([load(1,28)	load(1,29)	<pre>load(1,30)]));</pre>

#### % Load 5

```
Pa_840 = table2array(table([load(1,31) load(1,32) load(1,33)]));

Pp_840 = table2array(table([load(1,34) load(1,35) load(1,36)]));

Pn_840 = table2array(table([load(1,37) load(1,38) load(1,39)]));
```

```
simIn(idx) = Simulink.SimulationInput(model);
simIn(idx) = simIn(idx).setVariable('SimulationMode', 'Accelerator');
```

```
simIn(idx) = simIn(idx).setVariable('SW850_816', SW850_816);
simIn(idx) = simIn(idx).setVariable('SW818_820', SW818_820);
simIn(idx) = simIn(idx).setVariable('SW832_858', SW832_858);
simIn(idx) = simIn(idx).setVariable('SW834_842', SW834_842);
simIn(idx) = simIn(idx).setVariable('SW836_862', SW836_862);
```

```
simIn(idx) = simIn(idx).setVariable('Pa_824_828', Pa_824_828);
simIn(idx) = simIn(idx).setVariable('Pp_824_828', Pp_824_828);
simIn(idx) = simIn(idx).setVariable('Pn_824_828', Pn_824_828);
```

```
simIn(idx) = simIn(idx).setVariable('Pa_820_822', Pa_820_822);
    simIn(idx) = simIn(idx).setVariable('Pp_820_822', Pp_820_822);
    simIn(idx) = simIn(idx).setVariable('Pn_820_822', Pn_820_822);
    simIn(idx) = simIn(idx).setVariable('Pa_858_834', Pa_858_834);
    simIn(idx) = simIn(idx).setVariable('Pp_858_834', Pp_858_834);
    simIn(idx) = simIn(idx).setVariable('Pn_858_834', Pn_858_834);
    simIn(idx) = simIn(idx).setVariable('Pa_844', Pa_844);
    simIn(idx) = simIn(idx).setVariable('Pp 844', Pp 844);
    simIn(idx) = simIn(idx).setVariable('Pn 844', Pn 844);
    simIn(idx) = simIn(idx).setVariable('Pa_840', Pa_840);
    simIn(idx) = simIn(idx).setVariable('Pp_840', Pp_840);
    simIn(idx) = simIn(idx).setVariable('Pn_840', Pn_840);
    counter=counter+1;
end
simOut = parsim(simIn, 'ShowSimulationManager', 'off');
for round=1:(len-num+1)
   PMU802=[simOut(round).logsout{34}.Values.Data(1,:) simOut(round).logsout{1}.
        Values.Data(1,:)]; %1
   PMU806=[simOut(round).logsout{35}.Values.Data(1,:) simOut(round).logsout{2}.
        Values.Data(1,:)]; %2
   PMU808=[simOut(round).logsout{36}.Values.Data(1,:) simOut(round).logsout{3}.
        Values.Data(1,:)]; %3
   PMU810=[simOut(round).logsout{37}.Values.Data(1,:) simOut(round).logsout{4}.
        Values.Data(1,:)]; %4
   PMU812=[simOut(round).logsout{38}.Values.Data(1,:) simOut(round).logsout{5}.
        Values.Data(1,:)]; %5
   PMU814=[simOut(round).logsout{39}.Values.Data(1,:) simOut(round).logsout{6}.
        Values.Data(1,:)]; %6
   PMU850=[simOut(round).logsout{57}.Values.Data(1,:) simOut(round).logsout{24}.
        Values.Data(1,:)]; %7
   PMU816=[simOut(round).logsout{40}.Values.Data(1,:) simOut(round).logsout{7}.
        Values.Data(1,:)]; %8
   PMU818=[simOut(round).logsout{41}.Values.Data(1,:) simOut(round).logsout{8}.
        Values.Data(1,:)]; %9
   PMU820=[simOut(round).logsout{42}.Values.Data(1,:) simOut(round).logsout{9}.
```

```
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```

Values.Data(1,:)]; %10

- PMU822=[simOut(round).logsout{43}.Values.Data(1,:) simOut(round).logsout{10}.
  Values.Data(1,:)]; %11
- PMU824=[simOut(round).logsout{44}.Values.Data(1,:) simOut(round).logsout{11}.
  Values.Data(1,:)]; %12
- PMU826=[simOut(round).logsout{45}.Values.Data(1,:) simOut(round).logsout{12}.
  Values.Data(1,:)]; %13
- PMU828=[simOut(round).logsout{46}.Values.Data(1,:) simOut(round).logsout{13}.
  Values.Data(1,:)]; %14
- PMU830=[simOut(round).logsout{47}.Values.Data(1,:) simOut(round).logsout{14}.
  Values.Data(1,:)]; %15
- PMU854=[simOut(round).logsout{59}.Values.Data(1,:) simOut(round).logsout{26}.
  Values.Data(1,:)]; %16
- PMU856=[simOut(round).logsout{60}.Values.Data(1,:) simOut(round).logsout{27}.
  Values.Data(1,:)]; %17
- PMU852=[simOut(round).logsout{58}.Values.Data(1,:) simOut(round).logsout{25}.
  Values.Data(1,:)]; %18
- PMU832=[simOut(round).logsout{48}.Values.Data(1,:) simOut(round).logsout{15}.
  Values.Data(1,:)]; %19
- PMU888=[simOut(round).logsout{65}.Values.Data(1,:) simOut(round).logsout{32}.
  Values.Data(1,:)]; %20
- PMU890=[simOut(round).logsout{66}.Values.Data(1,:) simOut(round).logsout{33}.
  Values.Data(1,:)]; %21
- PMU858=[simOut(round).logsout{61}.Values.Data(1,:) simOut(round).logsout{28}.
  Values.Data(1,:)]; %22
- PMU864=[simOut(round).logsout{64}.Values.Data(1,:) simOut(round).logsout{31}.
  Values.Data(1,:)]; %23
- PMU834=[simOut(round).logsout{49}.Values.Data(1,:) simOut(round).logsout{16}.
  Values.Data(1,:)]; %24
- PMU842=[simOut(round).logsout{53}.Values.Data(1,:) simOut(round).logsout{20}.
  Values.Data(1,:)]; %25
- PMU844=[simOut(round).logsout{54}.Values.Data(1,:) simOut(round).logsout{21}.
  Values.Data(1,:)]; %26
- PMU846=[simOut(round).logsout{55}.Values.Data(1,:) simOut(round).logsout{22}.
  Values.Data(1,:)]; %27
- PMU848=[simOut(round).logsout{56}.Values.Data(1,:) simOut(round).logsout{23}.
  Values.Data(1,:)]; %28
- PMU860=[simOut(round).logsout{62}.Values.Data(1,:) simOut(round).logsout{29}.
  Values.Data(1,:)]; %29
- PMU836=[simOut(round).logsout{50}.Values.Data(1,:) simOut(round).logsout{17}.
  Values.Data(1,:)]; %30

```
PMU840=[simOut(round).logsout{52}.Values.Data(1,:) simOut(round).logsout{19}.
                Values.Data(1,:)]; %31
            PMU862=[simOut(round).logsout{63}.Values.Data(1,:) simOut(round).logsout{30}.
                Values.Data(1,:)]; %32
            PMU838=[simOut(round).logsout{51}.Values.Data(1,:) simOut(round).logsout{18}.
                Values.Data(1,:)]; %33
           PMU=[PMU802;PMU806;PMU808;PMU810;PMU812;PMU814;PMU850;PMU816;PMU818;PMU820;
                PMU822: PMU824: PMU826: PMU828: PMU830: PMU854: PMU856: PMU852: PMU832: PMU888:
                PMU890; PMU858; PMU864; PMU834; PMU842; PMU844; PMU846; PMU848; PMU860; PMU836;
                PMU840:PMU862:PMU8381:
            file_name = [Dirocry, '\',num2str(num), '.csv'];
            writematrix(PMU, file_name);
            %csvwrite(file_name,PMU);
            num=num+1;
        end
    end
end
```

Because the voltage and current have already been converted into per unit forms when building the Simulink model, the next step is to convert the angle values measured by PMUs into "radian/ $\pi$ ". So, the values would be shrunk between -1 to 1, which be suited for neural convolution. The code to generate the PMU data into per unit values is shows below:

```
% Save data into one .mat file
for topology=3:11
    list=dir([folder_name, `\PMUresults`, `\Topology`,num2str(topology), `\*.csv`]);
    len=length(list);
    for counter=1:len
        filename=[folder_name, 'PMUresults\Topology`,num2str(topology), `\`,num2str(counter)
        , '.csv`];
        Data{topology-2,counter}=readmatrix(filename, 'Range', 'A1:L33');
      end
end
save Data.mat
% Save in sorted folders
clear
```

load('Data.mat')

for topology=3:11

Dirocry = [folder\_name, '*PMU\_PUresults*\', num2str(topology-2), '\'];

mkdir(Dirocry);

list=dir([folder\_name, '\PMUresults', '\Topology', num2str(topology), '\\*.csv']);

len=length(list);

```
for counter=1:len
```

file\_name=[Dirocry, num2str(counter), '.csv'];

 $rad=Data{topology-2, counter}(:,[4,5,6,10,11,12])*pi/180;$ 

% Convert to radian

rad=rad/pi; % let rad between -1 and 1

voltage=Data{topology-2,counter}(:,1:3);

current=Data{topology-2,counter}(:,7:9);

save\_data=[voltage rad(:,[1,2,3]) current rad(:,[4,5,6])];

```
writematrix(save_data,file_name);
```

end

end

## Appendix C: Convolutional Neural Network Code

### C.1 Sorting Data

For CNN part, the code bellow was ran in Pycharm which used Python language.

```
#Sorting the data into three folders
#Copy the data into a new folder first
import os, random, shutil
def moveFile_Train(fileDir):
        pathDir = os.listdir(fileDir)
            #Read the original path of the file
        filenumber=len (pathDir)
        rate=0.8
                    #Proportion of extracted files
        picknumber=int(filenumber*rate) #Extract files in proportion
        sample = random.sample(pathDir, picknumber)
            #Randomly select a proportional number of files
        print (sample)
        for name in sample:
                shutil.move(fileDir+'/'+name, tarDir+'/'+name)
                #shutil.copyfile(fileDir+name, tarDir+name)
        return
```

```
def moveFile_Test(fileDir):
```

```
pathDir = os.listdir(fileDir)
filenumber = len(pathDir)
rate = 0.5
picknumber = int(filenumber * rate)
sample = random.sample(pathDir, picknumber)
print(sample)
for name in sample:
    shutil.move(fileDir+'/'+name, tarDir+'/'+name)
return
```

```
def moveFile_Val(fileDir):
```

```
pathDir = os.listdir(fileDir)
filenumber = len(pathDir)
```

```
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```

```
rate = 1
   picknumber = int(filenumber * rate)
   sample = random.sample(pathDir, picknumber)
   print(sample)
   for name in sample:
        shutil.move(fileDir+'/'+name, tarDir+'/'+name)
   return
if __name__ == '__main__':
   for num in range(1, 10):
        path_Test= 'G:/34NODES_RUNNING/DataFolder/CNN/Test/ '+str (num)
        path_Train = 'G:/34NODES_RUNNING/DataFolder/CNN/Train/' + str (num)
        path_Val = 'G:/34NODES_RUNNING/DataFolder/CNN/Val/' + str (num)
        try:
            os.mkdir(path_Train)
            os.mkdir(path_Test)
            os.mkdir(path_Val)
        except OSError:
            print("Creation of the directory %s failed" % path_Train)
            print("Creation of the directory %s failed" % path_Test)
            print("Creation of the directory %s failed" % path_Val)
        else:
            print("Successfully created the directory %s" % path_Train)
            print("Successfully created the directory %s" % path_Test)
            print("Successfully created the directory %s" % path_Val)
   for num in range(1, 10):
        fileDir = "G:/34NODES_RUNNING/DataFolder/CNN/PMU_PUresults/"+str (num)
                                                                                  #Source
            file folder path
        tarDir = 'G:/34NODES_RUNNING/DataFolder/CNN/Train/'+str (num)
                                                                                  #Move to
            new folder path
        moveFile_Train(fileDir) #move to Train folder
   for num in range(1,10):
        fileDir = "G:/34NODES_RUNNING/DataFolder/CNN/PMU_PUresults/"+str (num)
        tarDir = 'G:/34NODES_RUNNING/DataFolder/CNN/Test/'+str(num)
        moveFile_Test(fileDir)
                                   #move to Test folder
    for num in range(1,10):
```

fileDir = "G:/34NODES\_RUNNING/DataFolder/CNN/PMU\_PUresults/"+str (num)

```
tarDir = 'G:/34NODES_RUNNING/DataFolder/CNN/Val/'+str(mm)
moveFile_Val(fileDir) #move to Val folder
```

## C.2 Calculate the mean and standard deviation

```
import os
from glob import glob
import numpy as np
import pandas as pd
def mean_std(root):
    class_dir = glob(os.path.join(root, '*/'))
    for dir in class_dir:
        print(dir, os.path.isdir(dir))
    total_data = []
    for dir in class_dir:
            this_data = glob(os.path.join(dir, '*.csv'))
            total_data += this_data
    print(len(total_data))
    batch_size = 100
    num_batch = len(total_data) // batch_size
    batch_means = []
    batch_vars = []
    print('num_batch = ', num_batch)
    for i_batch in range(num_batch):
        print('processing batch ', i_batch)
        batch data = None
        start = i_batch * batch_size
        end = (i_batch + 1) * batch_size
        for file in total_data[start:end]:
            #print('processing file ', file)
            df = pd.read_csv(file , header=None)
            im = df.to_numpy()
                                      #Convert <pandas.core.frame.DataFrame> to <numpy.
                ndarray>
```

```
if batch_data is None:
            batch_data = im
        else:
            batch_data = np.vstack((batch_data, im))
        #print(np.shape(batch_data))
    #print(np.shape(batch_data))
    batch_mean0 = np.mean(batch_data)
    batch_var0 = np.var(batch_data)
    batch_means.append(batch_mean0)
    batch_vars.append(batch_var0)
batch_means = np.stack(batch_means, axis=0)
batch_vars = np.stack(batch_vars, axis=0)
print(batch_means.shape)
print(batch_vars.shape)
mean = np.mean(batch_means, axis=0)
var = np.mean(batch_vars, axis=0) + np.var(batch_means, axis=0)
print('mean = ', mean)
print('var = ', var)
print('std = ', np.sqrt(var))
return mean, np.sqrt(var)
```

## C.3 Two Layer Net

```
import torch.nn as nn
import torch.nn.functional as F
import numpy as np
# Weight initialization
def weight_init(m):
    if isinstance(m, nn.Linear):
        size = m.weight.size()
        fan_out = size[0] # number of rows
        fan_in = size[1] # number of columns
```

```
variance = np.sqrt(2.0/(fan_in + fan_out))
m.weight.data.normal_(0.0, variance)
elif isinstance(m, nn.Conv2d):
    k1, k2 = m.kernel_size
    # in_c = m.in_channels
    out_c = m.out_channels
    n = k1 * k2 * out_c
    variance = np.sqrt(2.0 / n)
    m.weight.data.normal_(0.0, variance)
```

```
def num_flat_features(x):
```

```
size = x.size()[1:] # all dimensions except the batch dimension
num_features = 1
for s in size:
    num_features *= s
return num_features
```

class TwoLayerConvNet(nn.Module):

```
def __init__(self):
```

```
super(TwoLayerConvNet, self).__init__()
self.convl = nn.Conv2d(1, 15, kernel_size=(5, 3), stride=(1, 3))
self.conv_bn1 = nn.BatchNorm2d(15)
self.conv2 = nn.Conv2d(15, 20, kernel_size=3)
self.conv_bn2 = nn.BatchNorm2d(20)
self.conv2_drop = nn.Dropout2d()
self.fc1 = nn.Linear(20*27*2, 100)
self.fc_bn1 = nn.BatchNorm1d(100)
self.fc2 = nn.Linear(100, 9)
weight_init(self.fc1)
weight_init(self.fc2)
```

```
def forward(self, x):
```

```
x = F.relu(F.max_pool2d(self.conv_bn1(self.conv1(x)), kernel_size=(1, 1)))
```

- # print(x.shape)
- x = F.relu(F.max\_pool2d(self.conv2\_drop(self.conv\_bn2(self.conv2(x))), kernel\_size =(1,1)))
- # print(x.shape)

 $x = x.view(-1, num_flat_features(x))$ 

- $x = F.relu(self.fc_bnl(self.fcl(x))) # used to be F.tanh$
- x = F.dropout(x, training=self.training)

```
x = self.fc2(x)
return F.log_softmax(x, dim=1)
```

# C.4 Pandas Dataset Folder

Here a function is customized for the data input of the heatmap.

from torchvision.datasets import DatasetFolder import torch import numpy as np

class PandasDatasetFolder(DatasetFolder):

```
def __getitem__(self, index):
    path, target = self.samples[index]
    sample = self.loader(path, header=None)
    # sample = np.as_matrix(sample)
    sample=sample.to_numpy()
    sample=sample[np.newaxis, ...]
    # print(np.shape(sample))
    # print('Sample Type: ', type(sample) )
    sample = torch.from_numpy(sample)
    # print(sample)
    if self.transform is not None:
        sample = self.transform(sample)
    if self.target_transform(target)
```

return sample, target

# C.5 Main Code

# C.5.1 Cuda Parameters

no\_cuda = False

is\_cuda = not no\_cuda and torch.cuda.is\_available()
print("is\_cuda = ", is\_cuda)

kwargs = { 'num\_workers': 4, 'pin\_memory': True} if is\_cuda else {}

## C.5.2 Load Data

```
data_file_names = ['Train', 'Val', 'Test']
data_sets = glob.glob(data_path)
for sub_data_path in data_sets:
    train_path = os.path.join(sub_data_path, 'Train')
    # ==== Get Mean STD ====
    print(train_path)
   mean, std = mean_std(train_path) ## Commended before get MEAN std
currentloader = pd.read_csv
for data_file in data_file_names:
    data_file_path = os.path.join(data_path, data_file)
    if os.path.isdir(data_file_path) and data_file == 'Train':
        # train dataset = DatasetFolder(root=data file path, loader=pd.read csv,
            extensions='csv')
        train_dataset = PandasDatasetFolder(root=data_file_path, loader=currentloader,
            extensions='csv')
    elif os.path.isdir(data_file_path) and data_file == 'Val':
        # val_dataset = DatasetFolder(root=data_file_path, loader=pd.read_csv, extensions
            ='csv', transform=train_transform)
        val_dataset = PandasDatasetFolder(root=data_file_path, loader=currentloader,
            extensions='csv')
    elif os.path.isdir(data_file_path) and data_file == 'Test':
        # test_dataset = DatasetFolder(root=data_file_path, loader=pd.read_csv, extensions
            ='csv', transform=train_transform)
        test_dataset = PandasDatasetFolder(root=data_file_path, loader=currentloader,
            extensions='csv')
    else:
        raise RuntimeError('No data files found.')
train_loader = data_utils.DataLoader(dataset=train_dataset, batch_size=64, shuffle=True,
    **kwargs)
val_loader = data_utils.DataLoader(dataset=val_dataset, batch_size=32, shuffle=False, **
```

```
kwargs)
```

test\_loader = data\_utils.DataLoader(dataset=test\_dataset, batch\_size=32, shuffle=False, \*\*
 kwargs)

```
enumerate(train_loader)
```

# C.5.3 Train Function

```
def train(epoch, print_period=100):
    model.train()
    # print(train_loader)
    for batch_idx, (data, target) in enumerate(train_loader):
        # convert data to tensor
        # data = torch.from_numpy(data.to_numpy())
        # normalize
        data -= mean
        data /= std
        # print(data.size())
        if is cuda:
            data, target = data.cuda(), target.cuda()
            data = data.type('torch.cuda.FloatTensor')
            target = target.type('torch.cuda.LongTensor')
        else:
            data = data.type('torch.DoubleTensor')
            target = target.type('torch.LongTensor')
        # data = data[:, :2, :, :]
        target = target.squeeze()
        optimizer.zero_grad()
        output = model(data)
        with torch.enable_grad():
            loss = F.cross_entropy(output, target) #F.nll_loss(output, target)
            loss.backward()
        optimizer.step()
        if batch_idx % print_period == 0:
            print('Train Epoch: {} [{}/{}]\tLoss: {:.6f}'.format(
                epoch, batch_idx * len(data), len(train_loader.dataset),
                loss.data))
```

```
print('validation:')
val_target_labels, val_pred_labels, val_acc = validate(model, val_loader, is_cuda=True)
return val_target_labels, val_pred_labels, val_acc
```

### C.5.4 Model and Parameter Setting

```
model = TwoLayerConvNet()
model = model.float()
if is_cuda:
    model.cuda()
optimizer = optim.Adam(model.parameters(), lr=le-4, weight_decay=0.00001)
scheduler = StepLR(optimizer, step_size=28, gamma=0.1)
num_epock = 1
print_period = 10
```

best\_model\_file\_name = type(model).\_\_name\_\_ + '\_best\_checkpoint.pickle'

# C.5.5 Training Loop

```
best_val_acc = 0
for epoch in range(num_epock):
    print('_______')

    optimizer.zero_grad()
    val_target_labels, val_pred_labels, val_pred_acc = train(epoch, print_period=
        print_period)
    scheduler.step()
    if val_pred_acc > best_val_acc:
        best_val_acc = val_pred_acc
        torch.save({ 'state_dict':model.state_dict(),
            'pred_labels':val_pred_labels,
            'target_labels': val_target_labels,
            'target_labels': val_pred_labels,
            'best_val_pred_acc': val_pred_acc,
            'best_val_pred_acc': val_pred_acc,
```

```
'class2idx': train_dataset.class_to_idx,
'Mean_STD': np.array([mean, std]),
'Data_path': data_path}, best_model_file_name)
```

print('Current best\_val\_acc: {:.4f}'.format(best\_val\_acc))

# C.5.6 Training Loop